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FCCC/SBI/2014/INF.22

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Subsidiary Body for Implementation
Forty-first session
Lima, 1–8 December 2014

Item 4(c) of the provisional agenda
Reporting from Parties not included in Annex I to the Convention
Provision of financial and technical support

Information provided by the Global Environment Facility on its activities relating to the preparation of national communications and biennial update reports

Note by the secretariat*

1. The Subsidiary Body for Implementation (SBI), at its thirty-ninth session, invited the Global Environment Facility (GEF) to continue to provide information on its activities relating to the preparation of national communications by Parties not included in Annex I to the Convention (non-Annex I Parties), including information on the dates of approval of funding and disbursement of funds, as well as the approximate date of submission of the national communications, for consideration at SBI 41.[1]

2. SBI 40 invited the GEF to continue to provide information on its activities relating to the preparation of biennial update reports by non-Annex I Parties, including information on the dates of requests for funding, approval of funding and disbursement of funds, for consideration at SBI 41.[2]

3. The required information referred to in paragraphs 1 and 2 above, provided by the GEF, has been reproduced in the annexes I and II respectively, as received from the GEF and without formal editing.

* This information was received from the Global Environment Facility secretariat on 25 November 2014.

[1] FCCC/SBI/2013/20, paragraph 33.

[2] FCCC/SBI/2014/8, paragraph 26.

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Annex I

Status of National Communications from Parties not included in Annex I to the Convention

Important note: Information was compiled by the Implementing Agencies (UNDP and UNEP). The table below was submitted to the GEF by UNDP and UNEP. We kindly request Parties to inform their respective implementing agencies if there are inconsistencies in the information below.

(INC – Initial National Communication, SNC – Second National Communication, TNC – Third National Communication, FNC – Fourth National Communication, IA-Implementing Agency, EA-Executing Agency)

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
1. Afghanistan	UNEP	12-Mar-13 (INC)	19-Aug-2014	500,000	09-Sept-14	June 2017	August 2017	☑SNC Project Inception workshop preparation underway
2. Albania	UNDP	23-Nov-09 (SNC)	18-Nov-11	500,000	18-Sep-12	May 2015	November 2015	☑National Circumstances: 50-75% Completed ☑GHG Inventories: >75% Completed ☑V & A Analysis : 50-75% Completed ☑Mitigation Analysis: <25% Completed ☑Other Information : 50-75% Completed ☑Constraints & Gaps: 25-50% Completed
3. Algeria	UNDP	25-Nov-10 (SNC)	--	--	--	--	--	☑Process for requesting GEF funding for TNC preparation has not initiated.
4. Angola	UNEP	6–Feb–12 (INC)	14-May-13	500,000	05-Jun-13	October 2015	December 2015	☑National Circumstances: Between 50- 75% Completed ☑GHG Inventories: Between 25- 50% Completed ☑V & A Analysis: less than 25% Completed ☑Mitigation Analysis: Not yet Initiated ☑Other Information: Between 50 – 75% Completed ☑Constraints & Gaps: Not yet Initiated
5. Antigua and Barbuda	UNEP	29-Nov-11 (SNC)	30-Oct-12	500,000	13-Nov-12	September 2015	October 2015	☑National Circumstances: Completed ☑GHG Inventories: More than 75% Completed ☑V & A Analysis : <25% Completed ☑Mitigation Analysis: <25% Completed ☑Other Information : <25% Completed ☑Constraints & Gaps: <25% Completed

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
6. Armenia	UNDP	7-Sep-10 (SNC)	27-Jul-11	500,000	12-Dec-11	December 2014	February 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis : >75% Completed ☑ Mitigation Analysis: Completed ☑ Other Information : Completed ☑ Constraints & Gaps: >75% Completed
7. Azerbaijan	UNDP	7-Jun-11 (SNC)	16-May-11	500,000	22-Feb-12	October 2014	March 2015	☑ National Circumstances: Completed ☑ GHG Inventories: >75% Completed ☑ V&A Analysis: >75% Completed ☑ Mitigation Analysis:>75% Completed ☑ Other Information: >75% Completed ☑ Constraints & Gaps: >75% Completed
8. Bahamas	UNDP	5-Nov-01 (INC)	22-May-06	420,000	19-Jun-06	Complete	Not reported	☑ All components completed
9. Bahrain	UNEP	15-Mar-12 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) under preparation by EA
10. Bangladesh	UNDP	26-Dec-12 (SNC)	22-Aug-13	456,621	6-Aug-14	August 2016	December 2016	☑ Project under Inception phase
11. Barbados	UNDP	30-Oct-01 (INC)	22-Nov-06	420,000	2-Mar-07	December 2014	March 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V&A Analysis: >75% Completed ☑ Mitigation Analysis: >75% Completed ☑ Other Information: >75% Completed ☑ Constraints & Gaps: >75% Completed
12. Belize	UNDP	28-Oct-11 (SNC)	27-Sep-11	500,000	11-Nov-11	November 2014	February 2015	☑ National Circumstances: 25-50% Completed ☑ GHG inventories: 25-50% Completed ☑ V&A Assessment: Completed ☑ Mitigation Analysis: <25% Completed ☑ Other Information: <25% Completed ☑ Constraints & Gaps: 50-75% Completed
13. Benin	UNEP	23-Nov-11 (SNC)	23-Sep-14	500,000	3-Oct-14	June 2017	August 2017	☑ TNC Project under inception phase

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
14. Bhutan	UNEP	28-Nov-11 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ Project Cooperation Agreement (PCA) for the preparation of TNC signed by UNEP ☑ Awaiting bank details for transfer of initial cash advance to EA
15. Bolivia	UNDP	2-Dec-09 (SNC)	11-Feb-11	500,000	Sep-11	March 2015	July 2015	☑ National Circumstances: 25-50% Completed ☑ GHG Inventory: 50-75% Completed ☑ V&A Assessment: 25-50% Completed ☑ Mitigation Analysis: 25-50% Completed ☑ Other information: 50-75% Completed ☑ Constraints & Gaps: <25% Completed
16. Bosnia and Herzegovina	UNDP	11-Nov-13 (SNC)	23-Apr-14	500,000	3-Jul-14	October 2016	December 2016	☑ Project under Inception phase
17. Brazil	UNDP	30-Nov-10 (SNC)	6-June-10	5,720,000	14-Dec-10	October 2014	December 2014	☑ National Circumstances: 50-75% Completed ☑ GHG Inventories: >75% Completed ☑ V&A Assessment: 50-75% Completed ☑ Mitigation Analysis: 50-75% Completed ☑ Other Information: 50-75% Completed ☑ Constraints & Gaps: 50-75% Completed ☑ PIF request for a 4NC and BUR Full-Size project ($7,528,500) approved by GEF Council 20 Jun 2013.
18. Burkina Faso	UNDP (SNC) UNEP (TNC)	16-May-02 (INC)	5-Jun-06	420,000	27-July-06	May 2014	October 2014	☑ All components completed ☑ TNC Project Implementation Plan (PIP) under preparation by EA
19. Burundi	UNEP	28-June-10 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) submitted to UNEP by EA for Review and approval
20. Cambodia	UNDP (SNC) UNEP (TNC)	8-Oct-02 (INC)	9-May-06	420,000	24-Jun-06	October 2013	November 2014	☑ All project components completed ☑ TNC Project Implementation Plan (PIP) under preparation by EA

FCCC/SBI/2014/INF.22

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
21. Cameroon	UNEP	31-Jan-05 (INC)	03-Feb-09	420,000	17-Feb-09	November 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis : Completed ☑ Mitigation Analysis: Completed ☑ Other Information : 75% Completed ☑ Constraints & Gaps: 75% Completed
22. Cape Verde	UNDP	21-Oct-11 (SNC)	9-Sep-13	500,000	14-Feb-14	August 2016	Nov/Dec 2016	☑ National Circumstances: <25% Completed ☑ GHG Inventories: <25% Completed ☑ V & A Analysis : <25% Completed ☑ Mitigation Analysis:<25% Completed ☑ Other Information : Not yet initiated ☑ Constraints & Gaps: <25% Completed
23. Central African Republic	UNEP	10-Jun-03 (INC)	30-Aug-06	420,000	13-Nov-06	November 2014	December 2014	☑ All components completed
24. Chad	UNEP (TNC)	4-Jun-13 (SNC)	PIP under review by IA	500,000	PIP under review by IA	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP)) submitted to UNEP by EA for Review and approval
25. Chile	UNDP	24-Oct-11 (SNC)	27-Jul-12	500,000	12-Oct-12	December 2016	December 2016	☑ National Circumstances: 50-75% Completed ☑ GHG Inventories: >75% Completed ☑ V & A Analysis: 50-75% Completed ☑ Mitigation Analysis: >75% Completed ☑ Other Information: 25-50% Completed ☑ Constraints & Gaps: 50-75% Completed
26. China	UNDP	8-Nov-12 (SNC)	Agency project document under review for IA clearance	7,280,000	Not yet disbursed	To be determined	To be determined	☑ Project Document for TNC project with BUR component under IA review for clearance ☑ Project under Inception phase
27. Colombia	UNDP	7-Dec-10 (SNC)	25-Nov-13	2,000,000	17-Dec-13	December 2015	June 2016	☑ National Circumstances: <25% Completed ☑ GHG Inventories: <25% Completed ☑ V & A Analysis: Not yet initiated ☑ Mitigation Analysis: <25% Completed ☑ Other Information: <25% Completed ☑ Constraints & Gaps: Not yet initiated

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
28. Comoros	UNEP	24-Jun-13 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) under preparation by EA
29. Congo (Republic of)	UNEP	27-Nov-09 (SNC)	PIP under review by IA	500,000	PIP under review by IA	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP)) submitted to UNEP by EA for Review and approval
30. Congo Democratic Republic	UNEP	28-Nov-09 (SNC)	30-Sep-11	500,000	2-Nov-11	October 2014	December 2014	☑ National Circumstances: More than 75% Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: Completed ☑ Mitigation Analysis: More than 75 % Completed ☑ Other Information : Completed ☑ Constraints & Gaps: More than 75 % Completed
31. Cook Islands	UNEP	12-Apr-12 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) Under Preparation
32. Costa Rica	UNDP	7-Oct-2009 (SNC)	21-Mar-12	500,000	14-Aug-12	September 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: >75 % Completed ☑ V & A Analysis: Completed ☑ Mitigation Analysis: Completed ☑ Other Information : Completed ☑ Constraints & Gaps: >75% Completed
33. Côte d'Ivoire	UNEP	26-Apr-10 (SNC)	11–May-12	500,000	12–Feb-13	February 2015	December 2015	☑ National Circumstances: Between 50 - 75% completed ☑ GHG Inventories: Between 50 - 75% completed ☑ V & A Analysis: Between 50 - 75% completed ☑ Mitigation Analysis: Between 25 – 50 % completed ☑ Other Information : Less than 25% completed ☑ Constraints & Gaps: 25 – 50 % completed
34. Cuba	UNDP	28-Sep-01 (INC)	15-Mar-08	420,000	Mar-08	October 2014	November 2014	☑ All Components completed ☑ TNC project proposal with BUR component under preparation
35. Democratic People's Republic of Korea	UNEP	15- Oct 2013 (SNC)	PIP preparation suspended	500,000	PIP preparation suspended	To be determined	To be determined	☑ TNC Project Implementation Plan Preparation suspended

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
36. Djibouti	UNEP	19-Feb -14 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) under preparation
37. Dominica	UNEP	20-Nov-12 (SNC)	29-Aug-14	500,000	11-Sept-14	July 2014	August 2014	☑ TNC Project under inception phase
38. Dominican Republic	UNDP	17-Dec-09 (SNC)	14-Aug-13	500,000	10-Oct-13	December 2015	March 2016	☑ National Circumstances: Not yet Initiated ☑ GHG Inventories: <25 % Completed ☑ V & A Analysis: Not yet initiated ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : <25% Completed ☑ Constraints & Gaps: <25% Completed
39. Ecuador	UNDP	2-Apr-12 (SNC)	21-Jan-14	852,000	25-Feb-14	April 2016	July 2016	☑ National Circumstances: Not yet Initiated ☑ GHG Inventories: <25 % Completed ☑ V & A Analysis: Not yet initiated ☑ Mitigation Analysis: <25% Completed ☑ Other Information : <25% Completed ☑ Constraints & Gaps: Not yet initiated
40. Egypt	UNDP	7-Jun-2010 (SNC)	27-Apr-11	500,000	6-Jun-11	March 2015	December 2015	☑ National Circumstances: <25% Completed ☑ GHG Inventories: >75% Completed ☑ V & A Analysis: >75% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: 25-50% Completed ☑ Constraints & Gaps: 25-50% Completed
41. El Salvador	UNDP	11-Sep-13 (SNC)	Agency project document under review for IA clearance	852,000	Not yet disbursed	To be determined	To be determined	☑ Project Document for TNC project with BUR component under IA review for clearance
42. Eritrea	UNEP	10-Aug-12 (SNC)	PIP under review by IA	500,000	PIP under review by IA	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) under review by UNEP for approval and signature

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
43. Equatorial Guinea	UNEP	INC under preparation	02-Mar-09	420,000	23-Apr-09	December 2014	February 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Between 25-50% Completed ☑ V & A Analysis: Between 50-75% Completed ☑ Mitigation Analysis: More than 75% Completed ☑ Other Information: More than 75% Completed ☑ Constraints & Gaps: Between 50-75% Completed
44. Ethiopia	UNDP	16-Oct-01 (INC)	21-Jun13	500,000	14-Aug-13	To be determined	To be determined	☑ SNC Project Inception Phase
45. Fiji	UNEP	31- July - 14 (SNC)	01-Sept-2014	500,000	11-Sept-14	July 2014	August 2014	☑ Project under inception phase
46. Gabon	UNDP	8-Dec-11 (SNC)	6-Mar-14	480,000	19-Aug-14	2017	2018	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: Not yet initiated ☑ V & A Analysis. Not yet initiated ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : <25% Completed ☑ Constraints & Gaps: <25% Completed
47. Gambia	UNEP	28-Jan. -13 (SNC)	27-Mar-13	500,000	15-Apr-13	April 2016	May 2016	☑ National Circumstances: completed ☑ GHG Inventories: 25-50% completed ☑ V & A Analysis: Less than 25% completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : Less than 25% completed ☑ Constraints & Gaps: Less than 25% completed
48. Georgia	UNDP	2-Oct-09 (SNC)	19-May-11	500,000	31-Jan-12	December 2014	March 2015	☑ National Circumstances: 25-50% Completed ☑ GHG Inventories: >75% Completed ☑ V & A Analysis: Completed ☑ Mitigation Analysis: Completed ☑ Other Information : 50-75% Completed ☑ Constraints & Gaps: >75% Completed
49. Ghana	UNEP	12-Oct-11 (SNC)	25-Jan-12	500,000	09-Feb-12	October 2014	January 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: More than 75% Completed ☑ Mitigation Analysis: More than 7- % Completed ☑ Other Information : Between 50-75 % Completed ☑ Constraints & Gaps: Between 50-75 % Completed

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50. Grenada*	UNDP (SNC) UNEP (TNC)	21-Nov-00 (INC)	8-Sep-06	420,000	May-07	Not reported	Not reported	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: 25-50% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : Not yet initiated ☑ Constraints & Gaps: Not yet initiated ☑ Project Cooperation Agreement (PCA) for TNC sent to EA by UNEP *Information based on previous survey. IA did not receive a response to request for updated information from EA after repeated request.
51. Guatemala	UNDP	1-Feb-02 (INC)	7-Nov-06	420,000	Dec-06	April 2015	June 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: >75% Completed ☑ Mitigation Analysis: >75% Completed ☑ Other Information: Completed ☑ Constraints & Gaps: >75% Completed
52. Guinea	UNEP	28-Oct-02 (INC)	24-Sept-07	420,000	01-Oct-07	December 2014	January 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: Completed ☑ Mitigation Analysis: More than 75% completed ☑ Other Information: More than 75% completed ☑ Constraints & Gaps: 50% completed
53. Guinea Bissau	UNEP	29-Oct-11 (SNC)	21-Mar-12	500,000	27-Mar-12	December 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: More than 75% completed ☑ Mitigation Analysis: More than 75% completed ☑ Other Information: More than 75% completed ☑ Constraints & Gaps: More than 75% completed
54. Guyana	UNEP	24-Sep-12 (SNC)	4- Feb- 14	500,000	Awaiting Bank details from EA	September 2014	October 2014	☑ TNC Project Implementation Plan (PIP) Approved. Awaiting Bank details from EA

FCCC/SBI/2014/INF.22

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55. Haiti	UNEP	7-Oct- 13 (SNC)	18-June-14	500,000	08-July-14	January 2017	June 2017	☑TNC Project Inception Workshop under preparation
56. Honduras	UNDP	5-Apr-12 (SNC)	Agency project document under review for IA clearance	852,000	Not yet disbursed	To be determined	To be determined	☑Project Document for TNC project with BUR component under IA review for clearance
57. India	UNDP	4-May-12 (SNC)	11-Jun-13	9,010,604	25-Sep-13	December 2017	June 2018	☑National Circumstances: Not yet initiated ☑GHG Inventories: Not yet initiated ☑V & A Analysis: <25% Completed ☑Mitigation Analysis: Not yet initiated ☑Other Information: Not yet initiated ☑Constraints & Gaps: Not yet initiated
58. Indonesia	UNDP	14-Jan-11 upd. 19-Jan-12 (SNC)	22-Nov-13	4,500,000	6-May-14	September 2016	December 2016	☑National Circumstances: 25-50% Completed ☑GHG Inventories: >75% Completed ☑ V & A Analysis: Not yet initiated ☑ Mitigation Analysis: 50-75% Completed ☑ Other Information: <25% Completed ☑ Constraints & Gaps: <25% Completed
59. Iran, Islamic Republic of	UNDP	23-Nov-11 (SNC)	20-Dec-11	500,000	20-Jan-12	December 2015	June 2016	☑National Circumstances: Completed ☑GHG Inventories: >75% Completed ☑ V & A Analysis: >75% Completed ☑ Mitigation Analysis: <25% Completed ☑ Other Information: 50-75% Completed ☑ Constraints & Gaps: Not yet initiated
60. Iraq	UNDP	INC under preparation	29-Jun-11	500,000	23-Apr-12	December 2014	February 2015	☑Constraints & Gaps: Completed ☑GHG Inventories: Completed ☑V & A Analysis: Completed ☑Other Information: Completed ☑National Circumstances: Completed ☑Mitigation Analysis: >75% Completed

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
61. Jamaica	UNDP	2-Dec-11 (SNC)	16-Jul-14	852,000	Not yet disbursed	To be determined	To be determined	☑ TNC project with BUR component under Inception phase
62. Jordan	UNDP	08-Dec-09 (SNC)	01-Aug-11	500,000	3-Nov-11	September 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: Completed ☑ Mitigation Analysis: Completed ☑ Other Information: >75% Completed ☑ Constraints & Gaps: >75% Completed
63. Kazakhstan	UNDP	24-Dec-13 (NC 3, 4, 5 & 6)	17-Jul-14	852,000	23-Sep-2014	To be determined	To be determined	☑ 7NC project with BUR component under Inception phase
64. Kenya	UNEP	22-Oct-02 (INC)	26-Oct-05	420,000	18-Nov-05	November 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Between 50-75% Completed ☑ V & A Analysis: Between 25-50% Completed ☑ Mitigation Analysis: Less than 25% Completed ☑ Other Information: More than 75% Completed ☑ Constraints & Gaps: Between 25-50% Completed
65. Kiribati	UNEP	27-Jun-13 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) Under Preparation
66. Kuwait	UNEP	30-Nov-12 (INC)	3-Sep-14	500,000	22-Sep-14	June 2017	August 2017	☑ Project under inception phase
67. Kyrgyzstan	UNEP	1-Dec-08 (SNC)	11–May-12	500,000	17 –Sept -12	July 2015	November 2015	☑ National Circumstances: Between 25- 50% Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: More than 75% Completed ☑ Mitigation Analysis: Between 50-75% Completed ☑ Other Information: Between 25-50% Completed ☑ Constraints & Gaps: Less than 25% Completed
68. Lao People's Democratic Republic	UNEP	24-Jun-13 (SNC)	PIP under review by IA	500,000	PIP under review by IA	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) under review by UNEP

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69. Lebanon	UNDP	March 2011 (SNC)	01-Dec-11	500,000	02-Mar-12	October 2015	December 2015	☑National Circumstances: 25-50% Completed ☑GHG Inventories: 50-75% Completed ☑ V & A Analysis: Not yet initiated ☑Mitigation Analysis: >75% Completed ☑Other Information: <25% Completed ☑Constraints & Gaps: <25% Completed
70. Lesotho	UNEP	20-Nov 2013 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑TNC Project Implementation Plan (PIP) Under Preparation
71. Liberia	UNEP	28-Jun-13 (INC)	5-Apr-2013	500,000	21- May-13	March 2016	December 2016	☑National Circumstances: Completed ☑GHG Inventories: Between 25-50% Completed ☑V & A Analysis: Between 25-50% Completed ☑Mitigation Analysis: Not yet initiated ☑Other Information : Not yet initiated ☑Constraints & Gaps: Not yet initiated
72. Libyan Arab Jamahiriya *	UNEP	INC under preparation	31-Jan-02	275,000	20-Feb-02	November 2015	December 2015	☑National Circumstances Between 50-75% completed ☑GHG Inventories: 50% completed ☑Project Implementation stalled due political instability * Project approved before commencement of umbrella project
73. Madagascar	UNEP	7-Dec-10 (SNC)	17-Oct-2011	500,000	02-Nov-11	November 2014	December 2014	☑National Circumstances: Completed ☑GHG Inventories: Completed ☑V & A Analysis: Completed ☑Mitigation Analysis: More than 75% Completed ☑Other Information: Completed ☑Constraints & Gaps: Completed

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
74. Malawi	UNEP	9-May-12 (SNC)	26-July-13	500,000	18-Sep-13	December 2015	December 2016	☑National Circumstances: Not Yet Initiated ☑GHG Inventories: Between 25-50% Completed ☑V & A Analysis: Not Yet Initiated ☑Mitigation Analysis: Not Yet Initiated ☑Other Information: Not Yet Initiated ☑Constraints & Gaps: Not Yet Initiated
75. Malaysia	UNDP	14-Apr-11 (SNC)	18-Mar-14	852,000	6-May-14	June 2017	December 2017	☑National Circumstances: <25% Completed ☑GHG Inventories: <25% Completed ☑V & A Analysis: <25% Completed ☑Mitigation Analysis: <25% Completed ☑Other Information: Not Yet Initiated ☑Constraints & Gaps: Not Yet Initiated
76. Maldives	UNEP	5-Nov-01 (INC)	1-Nov-2011	500,000	5 –Dec - 11	November 2014	December 2014	☑National Circumstances: More than 75% Completed ☑GHG inventories: Completed ☑V & A Analysis: More than 75% Completed ☑Mitigation Analysis: Completed ☑Other Information: Between 50-75% Completed ☑Constraints & Gaps: More than 75% Completed
77. Mali	UNDP	4-May-12 (SNC)	18-Mar-14	500,000	5-Jun-14	September 2017	December 2017	☑TNC Project under inception phase
78. Marshall Islands	UNDP	24-Nov-00 (INC)	30-Jan-07	420,000	7-Aug-07	November 2014	December 2014	☑All TNC components completed
79. Mauritania	UNEP	6-Dec-08 (SNC)	3-Mar-2011	500,000	17-Mar-11	July 2014	December 2014	☑All TNC components completed
80. Mauritius	UNEP	9-Nov-11 (SNC)	30-Apr-14	500,000	16-Jul-14	September 2017	October 2017	☑TNC Project under inception
81. Mexico	UNDP	6-Dec-12 (5NC)	Agency project document under review for IA clearance	3,636,364	Not yet disbursed	To be determined	To be determined	☑CEO Endorsement request for Sixth NC Full-Size Project ($3,636,364)approved by GEF Sec Sep 8, 2014 ☑Project Document under IA review for clearance

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Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
82. Micronesia (Federated States of)	UNDP	4-Dec-97 (INC)	20-Aug-06	420,000	Aug-06	Complete	December 2014	☑ All components completed
83. Mongolia	UNEP	10-Dec-10 (SNC)	06-May-14	500,000	23-May-14	June 2016	December 2016	☑ National Circumstances: Between 50-75% Completed ☑ GHG Inventories: Between 25-50% Completed ☑ V & A Analysis: Between 25-50% Completed ☑ Mitigation Analysis: Between 25-50% Completed ☑ Other Information: Between 25-50% Completed ☑ Constraints & Gaps: Less than 25% Completed
84. Montenegro	UNDP	12-Oct-10 (INC)	27-Apr-11	500,000	12-Jul-11	September 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: >75% Completed ☑ Mitigation Analysis: Completed ☑ Other Information: >75% Completed ☑ Constraints & Gaps: >75% Completed
85. Morocco	UNDP	3-Nov-10 (SNC)	5-Jun-12	500,000	23-Jan-13	December 2014	March 2015	☑ National Circumstances: 25-50% Completed ☑ GHG Inventories: >75% Completed ☑ V & A Analysis : 25-50% Completed ☑ Mitigation Analysis: 50-75% Completed ☑ Other Information : Not yet initiated ☑ Constraints & Gaps: Not yet initiated
86. Mozambique	UNEP	6-Jun-06 (INC)	11-Oct-06	420,000	25-Oct-06	November 2014	December 2014	☑ All SNC project components completed ☑ Project Cooperation Agreement (PCA) for TNC between Mozambique and UNEP sent to Country for signature
87. Myanmar	UNEP	26-Dec-12 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) Under preparation by EA

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
88. Namibia	UNDP	4-Oct-11 (SNC)	5-Jun-12	500,000	19-Jul-12	May 2015	December 2015	☑ National Circumstances: >75% Completed ☑ GHG Inventories: 50-75% Completed ☑ V & A Analysis: >75% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : 25-50% Completed ☑ Constraints & Gaps: 25-50% Completed
89. Nauru	UNDP (SNC) UNEP (TNC)	30-Oct-99 (INC)	25-May-07	420,000	July-07	November 2014	December 2014	☑ National Circumstances: >75% Completed ☑ GHG Inventories: 25-50% Completed ☑ V & A Analysis: 25-50% Completed ☑ Mitigation Analysis: 25-50% Completed ☑ Other Information : >75% Completed ☑ Constraints & Gaps: >75% Completed ☑ TNC Project Implementation Plan (PIP) Under Preparation by EA
90. Nepal	UNEP	1-Sept-04 (INC)	8-July-09	420,000	14-July-09	November 2014	December 2014	☑ All SNC components completed ☑ TNC Project Implementation Plan (PIP) Under Preparation
91. Nicaragua	UNDP	22-Jun-11 (SNC)	31-Aug-14	500,000	Not yet disbursed	To be determined	To be determined	☑ TNC Project under Inception phase
92. Niger	UNDP	9-Dec-09 (SNC)	19-Jan-12	500,000	13-Jun-12	September 2015	December 2015	☑ National Circumstances: Completed ☑ GHG Inventories: 50-75% Completed ☑ V & A Analysis: 50-75% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : 25-50% Completed ☑ Constraints & Gaps: Not yet initiated
93. Nigeria	UNDP	27-Feb-14 (SNC)	Agency project document under preparation	1,850,000	Not yet disbursed	To be determined	To be determined	☑ PIF for MSP TNC proposal approved by GEF Sec Apr 1, 2014. Request for CEO Approval under preparation.

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
94. Niue	UNEP	2-Oct-01 (INC)	11-Nov-04	420,000	20-Dec-04	November 2014	December 2014	☑ All SNC components completed ☑ TNC Project Implementation Plan (PIP) Under Preparation
95. Oman	UNEP	30-Nov-2013 (INC)	5-Jun-14	500,000	12-Sep-14	December 2016	February 2017	☑ Project under inception
96. Pakistan	UNEP	15-Nov-03 (INC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ Project Document under preparation
97. Palau	UNEP	18-Jun-03 (INC)	9-Dec-05	420,000	13-Dec-05	January 2015	February 2015	☑ All SNC Components completed ☑ Awaiting LI with Country
98. Panama	UNDP	2-Mar-12 (SNC)	--	--	--	--	--	☑ Process for requesting GEF funding for TNC preparation has not initiated.
99. Papua New Guinea	UNDP (SNC) UNEP (TNC)	27-Feb-02 (INC)	17-Jul-06	420,000	Feb-07	September 2014	October 2014	☑ All components completed ☑ TNC + BUR Proposal submitted to GEF Sec
100. Paraguay	UNDP	8-Dec-11 (SNC)	11-Mar-14	852,000	25-Apr-14	June 2016	November 2016	☑ TNC project with BUR component under inception phase
101. Peru	UNDP	28-Sep-10 (SNC)	8-Apr-13	500,000	25-Jul-13	October 2015	December 2015	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: >75% Completed ☑ V & A Analysis: >75% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: Not yet initiated ☑ Constraints & Gaps: Not yet initiated
102. Philippines	UNDP	19-May-00 (INC)	18-Apr-06	420,000	2-Aug-06	Complete	October 2014	☑ All components completed ☑ TNC project proposal with BUR component under preparation
103. Republic of Moldova	UNEP	20-Jan-14 (TNC)	17-July-14	500,000	07-Aug-14	June 2017	July 2017	☑ NC4 Project Inception Workshop preparation underway

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
104. Rwanda	UNEP	5-Jun-2012 (SNC)	01- Sept-2014	500,000	10 Sept-14	July 2014	August 2017	☑ TNC Project Implementation Plan (PIP) signed by UNEP ☑ Awaiting transfer of initial cash advancement
105. Saint Kitts and Nevis	UNDP UNEP	30-Nov-01 (INC)	25-Oct-06	420,000	May-07	October 2014	December 2014	☑ National Circumstances: 25-50% Completed ☑ GHG Inventories: Completed ☑ V&A Assessment: Completed ☑ Mitigation Analysis: 25-50% Completed ☑ Other information: <25% Completed ☑ Constraints & Gaps: <25% Completed ☑ PCA sent to country
106. Saint Lucia	UNEP	19-Apr-12 (SNC)	19-July-13	500,000	2-Aug-13	May 2016	July 2016	☑ National Circumstances: Not Yet initiated ☑ GHG Inventories: Less than 25% completed ☑ V&A Assessment: Not Yet initiated ☑ Mitigation Analysis: Not Yet initiated ☑ Other information: Not Yet initiated ☑ Constraints & Gaps: Not Yet initiated
107. Saint Vincent and The Grenadines	UNDP UNEP	21-Nov-00 (INC)	7-Jun-06	420,000	27-Jun-06	December 2014	June 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V&A Assessment: Completed ☑ Mitigation Analysis: Completed ☑ Other information: 25-50% Completed ☑ Constraints & Gaps: 25-50% Completed ☑ PCA sent to country
108. Samoa	UNEP	14-Jun-10 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ Project Cooperation Agreement sent by UNEP to EA ☑ Proposal development for TNC+BUR
109. Sao Tome and Principe	UNEP	3-Oct-12 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) Under Preparation

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
110. Saudi Arabia	UNEP	25-Oct-11 (SNC)	11 – Feb -13	500,000	22-Feb-13	February 2016	March 2016	☑ National Circumstances: Not Yet initiated ☑ GHG Inventories: Between 50- 75% Completed ☑ V&A Assessment: Between 25-50% Completed ☑ Mitigation Analysis: Less than 25% completed ☑ Other information: Between 25-50% Completed ☑ Constraints & Gaps: Between 25-50% Completed
111. Senegal	UNEP	16-Sep-10 (SNC)	20-May-11	500,000	26-May-11	November 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: Completed ☑ Mitigation Analysis: More than 75% completed ☑ Other Information: More than 75% completed ☑ Constraints & Gaps: More than 75% completed
112. Serbia	UNDP	29-Nov-10 (INC)	22-May-12	500,000	17-Aug-12	July 2015	September 2015	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: <25% Completed ☑ V & A Analysis: 50-75% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: 25-50% Completed ☑ Constraints & Gaps: <25% Completed
113. Seychelles	UNEP	14-Apr-13 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be deter mined	☑ TNC Project Implementation Plan (PIP) Under Preparation
114. Sierra Leone	UNEP	2-Dec-12 (SNC)	16-Sept-13	500,000	25-Oct-13	October 2016	December 2016	☑ National Circumstances: Between 25-50% Completed ☑ GHG Inventories: Between 25-50% Completed ☑ V & A Analysis: Not yet initiated ☑ Mitigation Analysis: Less than 25% Completed ☑ Other Information: Less than 25% Completed ☑ Constraints & Gaps: Not yet initiated
115. Solomon Islands	UNDP (SNC) UNEP (TNC)	29-Sep-04 (INC)	30-Jan-07	420,000	16-Aug-07	Completed	November 2014	☑ All components completed ☑ PCA sent to country for signing

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
116. South Africa	UNEP	16-Nov-11 (SNC)	23-Sep-2014	4,006,650	06-Oct-14	August 2014	September 2014	☑ TNC+BUR Project under inception
117. Sri Lanka	UNDP	16-Mar-12 (SNC)	PIF under preparation	852,000	PIF under preparation	To be determined	To be determined	☑ TNC project proposal with BUR component under preparation
118. Sudan	UNDP	14-Nov-13 (SNC)	PIF under preparation	852,000	PIF under preparation	To be determined	To be determined	☑ TNC project proposal with BUR component under preparation
119. Suriname	UNDP	26- Mar-06 (INC)	27-Jan-09	420,000	Jan-09	February 2013	Not Reported	☑ All components completed ☑ the GoS is aware of the reporting to the UNFCCC Secretariat and be in the position to provide conclusive response during the UNFCCC COP in Peru
120. Swaziland	UNEP	31–Mar–12 (SNC)	26-Jul-2013	500,000	18-Sep-13	February 2016	June 2016	☑ National Circumstances : More than 75% Completed ☑ GHG Inventories: More than 75% completed ☑ V & A Analysis: Less than 25% completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: Less than 25% Completed ☑ Constraints & Gaps: Not yet Initiated
121. Syrian Arab Republic	UNDP	29-Dec-2010 (INC)	--	--	--	--	--	☑ Project suspended due to current unrest
122. Tajikistan	UNDP	31-Dec-08 (SNC)	14-Apr-11	500,000	23-May-11	September 2014	November 2014	☑ All TNC components completed
123. Tanzania United Republic of	UNEP	4-Jul-03 (INC)	21-July-06	420,000	15-Aug-06	December 2014	December 2014	☑ National Circumstances : Completed ☑ GHG Inventories: More than 75% completed ☑ V & A Analysis: More than 75% completed ☑ Mitigation Analysis: More than 75 % completed ☑ Other Information: Completed ☑ Constraints & Gaps: Completed

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
124. Thailand	UNDP	24-Mar-11 (SNC)	23-Apr-14	852,000	6-Jun-14	September 2017	December 2017	☑ National Circumstances: <25% Completed ☑ GHG Inventories: <25% Completed ☑ V & A Analysis: <25% completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: Not yet initiated ☑ Constraints & Gaps: Not yet initiated
125. The Former Yugoslav Republic of Macedonia	UNDP	3-Mar-14 (TNC)	--	--	--	--	--	☑ Process for requesting GEF funding for 4NC preparation has not initiated.
126. Timor Leste	UNDP	INC Under Preparation	14-Aug-09	420,000	1-Sept-09	September 2013	October 2014	☑ All INC components completed ☑ Project Document for SNC project under IA review for clearance
127. Togo	UNDP	15-Sep-11 (SNC)	6-Mar-12	500,000	4-Jul-12	October 2015	December 2015	☑ National Circumstances: Completed ☑ GHG Inventories: >75% Completed ☑ V & A Analysis: 25-50% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: Not yet initiated ☑ Constraints & Gaps: Not yet initiated
128. Tonga	UNDP	2-May-12 (SNC)	11-Oct-12	500,000	8-Feb-13	January 2016	August 2016	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: <25% Completed ☑ V & A Analysis: <25% Completed ☑ Mitigation Analysis: Not yet initiated ☑ Other Information: Not yet initiated ☑ Constraints & Gaps: Not yet initiated
129. Trinidad and Tobago	UNDP	7-Nov-13 (SNC)	Clearance of project proposal by GEF Secretariat pending	952,000	Clearance of project proposal by GEF Secretariat pending	To be determined	To be determined	☑ PIF for TNC Project proposal with BUR and iNDC component submitted to GEF Secretariat for approval

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
130. Tunisia	UNDP	14-Feb-14 (SNC)	13-May-14	500,000	11-Aug-14	December 2016	June 2017	☑ TNC project under Inception phase
131. Turkmenistan	UNEP	29-Nov-10 (SNC)	20-Feb-12	500,000	22-Jun-2012	February 2015	June 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ V & A Analysis: More than 75% Completed ☑ Mitigation Analysis: More than 75% Completed ☑ Other Information: More than 75% Completed ☑ Constraints & Gaps: Between 50- 75% Completed
132. Tuvalu	UNDP UNEP	30-Oct-99 (INC)	17-Jan-07	420,000	May-07	November 2014	December 2014	☑ All SNC components completed ☑ PCA sent to country for signing
133. Uganda	UNEP	26-Oct-02 (INC)	28-Aug-08	420,000	10-Sep-08	November 2014	December 2014	☑ All SNC Components completed
134. Uruguay	UNDP	18-Nov-10 (TNC)	27-Apr-11	500,000	2-Aug-11	August 2015	November 2015	☑ National Circumstances: 50-75% Completed ☑ GHG Inventories: 50-75% completed ☑ V & A Analysis: 25-50% completed ☑ Mitigation Analysis: 50-75% completed ☑ Other Information: 50-75% completed ☑ Constraints & Gaps: 25-50% completed
135. Uzbekistan	UNEP	3-Dec-08 (SNC)	30-Jan-12	500,000	01-Feb-12	September 2015	December 2015	☑ National Circumstances: Between 50- 75% Completed ☑ GHG Inventories: Between 50- 75% Completed ☑ V & A Analysis: Less than 25% completed ☑ Mitigation Analysis: Less than 25% Completed ☑ Other Information: Between 25 – 75% Completed ☑ Constraints & Gaps: Less than 25% Completed
136. Vanuatu	UNDP	30-Oct-99 (INC)	22-Dec-05	420,000	24-Jul-06	November 2014	November 2014	☑ All SNC components completed

Party	Agency	Submission date of the last report to COP	Date of approval by Implementing Agency (IA) of most recent NC project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Approximate date of completion of Draft NC Report	Approximate date of NC submission to the COP	Status of project activities
137. Venezuela (Bolivarian Republic of)	UNDP	13-Oct-05 (INC)	21-Sep-12	420,000	14-Dec-12	September 2015	March 2016	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: Not yet initiated ☑ V & A Analysis : Not yet initiated ☑ Mitigation Analysis: Not yet initiated ☑ Other Information : Not yet initiated ☑ Constraints & Gaps: Not yet initiated * Information based on previous survey. IA did not receive a response to request for updated information from EA after repeated request
138. Vietnam	UNEP	7-Dec-10 (SNC)	PIP under preparation	500,000	PIP under preparation	To be determined	To be determined	☑ TNC Project Implementation Plan (PIP) Under Preparation
139. Yemen	UNDP	6-Jun-13 (SNC)	4-Apr-14	852,000	4-Jun-14	May 2016	December 2016	☑ TNC project proposal with BUR component under Inception phase
140. Zambia	UNDP (SNC) UNEP (TNC)	18-Aug-04 (INC)	2-Feb-07	470,000 includes TNA	17-Aug-07	September 2013	December 2014	☑ All SNC components completed ☑ TNC Project Implementation Plan (PIP) Under preparation by EA
141. Zimbabwe	UNEP	31-Jan-13 (SNC)	02-Jul-13	500,000	21-Aug-13	October 2015	June 2016	☑ National Circumstances: Less than 25% Completed ☑ GHG Inventories: Less than 25% Completed ☑ V & A Analysis: Less than 25% Completed ☑ Mitigation Analysis: Not yet Initiated ☑ Other Information: Less than 25% Completed ☑ Constraints & Gaps: Not yet Initiated

Annex II

Status resources approved by the GEF secretariat from Parties not included in Annex I for the preparation of Biennial Update Reports

Important note: Information was compiled by the Implementing Agencies (UNDP and UNEP)
IA-Implementing Agency, EA-Executing Agency)

	Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
1.	Argentina	UNDP	13-Dec-2013	29-Jan-2014	Not applicable[3]	Agency project document under preparation	300,854	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ Project transferred from WB to UNDP on 11 Sep 2014
2.	Armenia	UNDP	19-Nov-2013	5-Feb-2014	Not applicable[3]	14-Apr-2014	352,000	9-May-2014	171,400	August 2015	October 2015	☑ National Circumstances: <25% Completed ☑ GHG Inventories: <25% Completed ☑ Mitigation Actions and their effects: <25% Completed ☑ Domestic measurement, reporting and verification (MRV): Not yet initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: Not yet initiated
3.	Azerbaijan	UNDP	22-May-2013	10-Jun-2013	Not applicable[3]	2-Oct-2013	321,461	19-Dec-2013	321,461	Nov/Dec 2014	Dec 2014/Jan 2015	☑ National Circumstances: 50-75% Completed ☑ GHG Inventories: >75% Completed ☑ Mitigation Actions and their effects: 25-50% Completed ☑ Domestic measurement, reporting and verification (MRV): <25% Completed ☑ Constraints & Gaps: <25% Completed ☑ Other Information: <25% Completed
4.	Benin	UNEP	16-Apr-2014	19-May-2014	Not applicable[3]	23-Sep-2014	352,000	31-Oct-2014	10,000 (as at 31-Oct-2014)	November 2015	December 2015	☑ Project under inception phase

	Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
5.	Bosnia and Herzegovina	UNDP	30-May-2013	22-Aug-2013	Not applicable[3]	26-Sep-2013	352,000	4-Nov-2013	321,249	September 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: >75% Completed ☑ Mitigation Actions and their effects: Completed ☑ Domestic measurement, reporting and verification (MRV): Completed ☑ Constraints & Gaps: Completed ☑ Other Information: Completed
6.	Brazil	UNDP	5-Apr-2013	2-May-2013	20-Jun-2013	Agency project document under preparation	7,528,500	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ BUR funding (US$ 1,050,000) request included in the full project proposal: PIF request for a 4NC and BUR Full-Size project approved by GEF Council 20 Jun 2013. ☑ Project Document and CEO Endorsement request under preparation
7.	China	UNDP	16-Mar-2012	1-Oct-2012	15-Nov-2012	Agency project document under review for IA clearance	7,280,000	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ BUR funding (US$ 892,400) request included in the full project proposal combined with National Communication. CEO Endorsement request and Project Document approved by GEF Secretariat 18 Jul 2014
8.	Colombia	UNDP	3-Apr-2014	2-May-2014	Not applicable[3]	1-Aug-2014	352,000	4-Sep-14	352,000	Not yet determined	Not yet determined	☑ Project under inception phase
9.	Costa Rica	UNDP	27-Aug-2013	25-Sep-2013	Not applicable[3]	16-Jan-2014	352,000	27-Feb-2014	352,000	March 2015	June 2015	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: 25-50% Completed ☑ Mitigation Actions and their effects:<25% Completed ☑ Domestic measurement, reporting and verification (MRV): Not yet initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: Not yet initiated
10.	Côte d'Ivoire	UNEP	09-Oct-2013	18-Nov-2013	Not applicable[3]	18-Apr-2014	352,000	30-Sep-2014	82,140 (as at 30-Sep-14)	December 2015	June 2016	☑ Project under inception phase

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of Initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
11. Ecuador	UNDP	5-Jul-2013	18-Jul-2013	5-Sep-2013	21-Jan-2014	852,000	25-Feb-2014	547,792[4]	May 2015	July 2015	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program ☑ National Circumstances: Not yet initiated ☑ GHG Inventories: <25% Completed ☑ Mitigation Actions and their effects:<25% Completed ☑ Domestic measurement reporting and verification (MRV): Not yet initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: <25% Completed
12. El Salvador	UNDP	13-Aug-2013	12-Sep-2013	7-Nov-2013	Agency project document under review for IA clearance	852,000	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the Nov 2013 Work Program. Due to internal approval process within the government, the final approval took much longer that originally planned.
13. Georgia	UNDP	16-Apr-2014	2-May-2014	Not applicable[3]	22-Oct-2014	352,000	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ Project Document under signature
14. Ghana	UNEP	22-May-2013	5-Jun-2013	Not applicable[3]	23-Aug-2013	352,000	17-Sep-2013	231,500 (as at 30-Sep-14)	December 2014	January 2015	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ Mitigation Actions and their effects: 50-75% Completed ☑ Domestic measurement reporting and verification (MRV): Between 50-75% Completed ☑ Constraints & Gaps: 25-50% Completed ☑ Other Information : 25-50% Completed
15. Honduras	UNDP	26-Feb-2014	2-May-2014	Not applicable[3]	Agency project document under review for IA clearance	852,000	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
16. India	UNDP	14-Sep-2011	26-Jan-2012	29-Feb-2012	10-Jun-2013	9,010,604	25-Sep-2013	1,161,404[3]	December 2014	March 2015	☑ BUR funding (US$ 2,625,000) included in the full project proposal CEO Endorsed 2 May 2013. ☑ National Circumstances: 50-75% Completed ☑ GHG Inventories: 50-75% Completed ☑ Mitigation Actions and their effects: 50-75% Completed ☑ Domestic measurement reporting and verification (MRV): <25% Completed ☑ Constraints & Gaps: 50-75% completed ☑ Other Information: Not yet initiated
17. Indonesia	UNDP	29-Mar-2012	6-Apr-2012	6-Jun-2012	22-Nov-2013	4,500,000	6-May-2014	1,012,295[3]	November 2014	December 2014	☑ BUR funding (US$ 419,556) included in the full project proposal ☑ National Circumstances: 25-50% Completed ☑ GHG Inventories: >75% Completed ☑ Mitigation Actions and their effects: 50-75% Completed ☑ Domestic measurement reporting and verification (MRV): <25% completed ☑ Constraints & Gaps: 25-50% completed ☑ Other Information : 25-50% completed
18. Jamaica	UNDP	29-May-2013	18-Jul-2013	5-Sep-2013	16-Jul-2014	852,000	9-Oct-2014	122,615	Not yet determined	Not yet determined	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program ☑ Project under Inception Phase
19. Kazakhstan	UNDP	10-Mar-2014	2-May-2014	Not applicable[3]	17-Jul-2014	852,000	23-Sep-2014	18,601[3]	Not yet determined	Not yet determined	☑ BUR funding (US$ 352,000) included in the EA Proposal for 7NC ☑ Project under Inception phase
20. Kuwait	UNEP	17-Sep-2013	10-Mar-2014	Not applicable[3]	3-Sep-2014	352,000	23-Oct-2014	15,000 (as at 23-Oct-14)	November 2015	December 2015	☑ Project under Inception Phase.

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
21. Lebanon	UNDP	17-Apr-2013	19-Jun-2013	Not applicable[3]	25-Sep-2013	321,461	28-Oct-2013	251,393	November 2014	December 2014	☑ National Circumstances: >75% Completed ☑ GHG Inventories: >75% Completed ☑ Mitigation Actions and their effects: >75% Completed ☑ Domestic measurement reporting and verification (MRV): 25-50% Completed ☑ Constraints & Gaps: 25-50% Completed ☑ Other Information : 25-50% Completed
22. Macedonia	UNDP	2-Apr-2013	29-May-2013	Not applicable[3]	2-Sep-2013	321,461	30-Sep-2013	303,111	November 2014	January 2015	☑ National Circumstances: >75% Completed ☑ GHG Inventories: Completed ☑ Mitigation Actions and their effects: >75% Completed ☑ Domestic measurement reporting and verification (MRV): 25-50% Completed ☑ Constraints & Gaps: >75% Completed ☑ Other Information: 50-75% Completed
23. Malaysia	UNDP	7-Feb-2013	18-Jul-2013	5-Sep-2013	18-Mar-2014	852,000	6-May-2014	351,055[4]	June 2015	December 2015	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program ☑ National Circumstances: <25% Completed ☑ GHG Inventories: 25-50% Completed ☑ Mitigation Actions and their effects: <25% Completed ☑ Domestic measurement reporting and verification (MRV): <25% Completed ☑ Constraints & Gaps: <25% Completed ☑ Other Information: <25% Completed
24. Mauritania	UNEP	09-Oct-2013	29-Oct-2013	Not applicable[3]	25-Feb-2014	352,000	28-Mar-2014	45,000 (as at 28-Mar-14)	December 2015	December 2015	☑ National Circumstances: Between 50-75% Completed ☑ GHG Inventories: Less than 25% Completed ☑ Mitigation Actions and their effects: Not yet initiated ☑ Domestic measurement reporting and verification (MRV): Not yet initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information : Not yet initiated

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
25. Mexico	UNDP	12-Apr-2013	29-May-2013	Not applicable[3]	24-Sep-2013	321,461	25-Nov-2013	238,142	December 2014	December 2014	☑ National Circumstances: <25% Completed ☑ GHG Inventories: 50-75% Completed ☑ Mitigation Actions and their effects: <25% Completed ☑ Domestic measurement reporting and verification (MRV): <25% completed ☑ Constraints & Gaps: <25% Completed ☑ Other Information: <25% Completed
26. Mongolia	UNEP	16-Apr-2014	11-Jun-2014	Not applicable[3]	21-Jul-2014	352,000	31-Oct-2014	10,000 (as at 31-Oct-14)	April 2016	June 2016	☑ BUR Project under Inception phase
27. Montenegro	UNDP	6-Nov-2013	25-Feb-2014	Not applicable[3]	1-May-2014	352,000	30-May-2014	142,740	March 2015	June 2015	☑ National Circumstances: <25% Completed ☑ GHG Inventories: <25% Completed ☑ Mitigation Actions and their effects: <25% Completed ☑ Domestic measurement reporting and verification (MRV): Not yet initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: <25% Completed
28. Namibia	UNDP	7-Aug-2013	16-Sep-2013	Not applicable[3]	25-Nov-2013	352,000	23-Jan-2014	352,000	October 2014	December 2014	☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ Mitigation Actions and their effects: <25% Completed ☑ Domestic measurement reporting and verification (MRV): 25-50% Completed ☑ Constraints & Gaps: 25-50% Completed ☑ Other Information : 25-50% Completed
29. Oman	UNEP	14-Jan-2014	02-May-2014	Not applicable[3]	5-Jun-2014	352,000	3-Sep-2014	20,000 (as at 3-Sep-14)	November 2015	December 2015	☑ Project under Inception Phase

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
30. Paraguay	UNDP	8-Jul-2013	18-Jul-2013	5-Sep-2013	11-Mar-2014	852,000	15-Apr-2014	302,600[3]	May 2015	August 2015	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program ☑ Project under Inception phase
31. Peru	UNDP	27-Aug-2013	25-Sep-2013	Not applicable[1]	12-Nov-2013	352,000	18-Jun-2014	300,000	1st Semester 2015	1st Semester 2015	☑ Project under Inception phase
32. Republic of Moldova	UNEP	17-Dec-2013	5-Feb-2014	Not applicable[1]	18-Apr-2014	852,000	19-May-2014	288,325 (as at 30-Oct-14)[4]	September 2015	October 2015	☑ Project under Inception phase Note: This project includes $500,000 for NC and $352,000 for BUR.
33. Saudi Arabia	UNEP	11-Jun-2014	13-Jun-2014	Not applicable[1]	21-Jul-2014	352,000	31-Oct-2014	10,000 (as at 31-Oct-14)	May 2016	August 2016	☑ BUR Project under Inception phase
34. Serbia	UNDP	28-Aug-2013	25-Sep-2013	Not applicable[1]	17-Feb-2014	352,000	4-Aug-2014	173,850	April 2015	June 2015	☑ National Circumstances: Not yet initiated ☑ GHG Inventories: <25% Completed ☑ Mitigation Actions and their effects: Not yet initiated ☑ Domestic measurement, reporting and verification (MRV): Not yet Initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: <25% Completed
35. South Africa	UNEP	07-Jan-2013	20-Feb-13	31-July-2014	12-Sept-2014	4,006,650	9-Oct-2014	400,000 (as at 30-Oct-14)[4]	June 2015	December 2015	☑ Note: This is a GEF full-size Third National Communication project which includes $352,000 support towards BUR. ☑ Project under Inception phase

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
36. Thailand	UNDP	18-Apr-2013	18-Jul-2013	5-Sep-2013	23-Apr-2014	852,000	6-Jun-2014	197,500[4]	September 2015	December 2015	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program ☑ National Circumstances: 25-50% Completed ☑ GHG Inventories: <25% Completed ☑ Mitigation Actions and their effects: <25% Completed ☑ Domestic measurement reporting and verification (MRV): Not yet Initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: Not yet initiated
37. Togo	UNDP	12-May-2014	2-Jun-2014	Not applicable[3]	26-Sep-2014	352,000	Not yet disbursed	Not yet disbursed	Not yet determined	Not yet determined	☑ Project Document under signature
38. Tunisia	UNDP	11-Jun-2014	12-Jun-2014	Not applicable[3]	5-Aug-2014	406,818	10-Oct-2014	86,918[5]	November 2014	December 2014	☑ BUR funding (US$ 352,000) included in the EA Proposal for BUR and iNDC combined project ☑ Project Document under signature ☑ National Circumstances: <25% Completed ☑ GHG Inventories: Completed ☑ Mitigation Actions and their effects: Not yet initiated ☑ Domestic measurement reporting and verification (MRV): Not yet Initiated ☑ Constraints & Gaps: Not yet initiated ☑ Other Information: <25% Completed
39. Uruguay	UNDP	16-Nov-2012	27-Dec-2012	Not applicable[3]	20-Jun-2013	320,000	2-Sep-2013	187,023	April 2015	1st Semester 2015	☑ National Circumstances: 50-75% Completed ☑ GHG Inventories: 50-75% Completed ☑ Mitigation Actions and their effects: 50-75% Completed ☑ Domestic measurement reporting and verification (MRV): <25% Completed ☑ Constraints & Gaps: <25% Completed ☑ Other Information: <25% Completed

Party	Agency	Date of request for funding[1]	Date of Project Clearance by GEF Secretariat	Date of Approval by GEF Council	Date of approval by Implementing Agency (IA) of BUR project	Total Amount Approved US$	Date of initial disbursement of funds by IA	Amount of funding Disbursed for BUR preparation[2]	Approximate date of completion of Draft BUR Report	Approximate date of BUR submission to the COP	Status of project activities
40. Vietnam	UNEP	07-Jan-2014	29-Jan-2014	Not applicable[3]	Agency project document under preparation	352,000	Agency project document under preparation	Not yet disbursed	September 2015	October 2015	☑ The Government of Vietnam has decided to prepare BUR1 with its own resources, and have therefore, requested that GEF funding for BUR1 be set aside to support preparation of BUR2. ☑ National Circumstances: Completed ☑ GHG Inventories: Completed ☑ Mitigation Actions and their effects: Completed ☑ Domestic measurement, reporting and verification (MRV): More than 75% Completed ☑ Constraints & Gaps: More than 75% Completed ☑ Other Information : Between 50- 75% Completed
41. Yemen	UNDP	5-Jul-2013	18-Jul-2013	5-Sep-2013	4-Apr-2014	852,000	4-Jun-2014	133,500[4]	November 2014	December 2014	☑ BUR funding (US$ 352,000) included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program ☑ Project under Inception phase

[1] The first submission date of enabling activity proposal to the GEF Secretariat.

[2] Sum of cumulative expenditures as of Dec 2013 and/or the budget approved for 2014 year.

[3] Enabling activity projects below US$1 million do not require GEF council approval.

[4] Includes the amount of funding disbursed for BUR and NC. In combined projects, it is not possible to separate the amount of funds that have been disbursed for BUR only.

[5] Includes the amount of funding disbursed for BUR and Intended Nationally Determined Contributions (INDCs). In combined projects, it is not possible to separate the amount of funds that have been disbursed for BUR only.

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Item 18(b) of the provisional agenda
Administrative, financial and institutional matters
Budget performance for the biennium 2014–2015

Status of contributions as at 15 November 2014

Note by the secretariat

1. This document contains information on the status of receipt of contributions to the UNFCCC trust funds as at 15 November 2014. The status of indicative contributions to the Kyoto Protocol is reported separately from the status of contributions to the Convention.

2. Table 1 lists all 196 Parties to the Convention and shows the expected and received contributions during 2014 and the outstanding balances for 2014 in euros due to the Trust Fund for the Core Budget of the UNFCCC. Ninety-three Parties have paid their contributions for 2014, representing 62 per cent of the indicative contributions for this year. Table 2 reflects the outstanding contributions for the years 1996–2009 in United States dollars. In table 3, Parties are grouped according to their status of contributions paid to the core budget for the Convention.

3. Table 4 lists all 192 Parties to the Kyoto Protocol and shows the expected and received contributions during 2014 and the outstanding balances for 2014 in euros due to the Trust Fund for the Core Budget of the UNFCCC. Eighty-one Parties have paid their contributions for 2014, representing 68 per cent of the indicative contributions for this year. Table 5 shows the outstanding contributions for the years 2005–2009 in United States dollars. In table 6, Parties are grouped according to their status of contributions paid to the core budget for the Kyoto Protocol.

4. The status of payment of fees for the international transaction log (ITL) is shown in table 7. With three exceptions, all Parties to the ITL have paid their fees for 2014. Twelve Parties have already paid advances amounting to EUR 233,912 towards the fees for 2015.

5. Table 8 reflects the voluntary contributions received in 2014 by the Trust Fund for Supplementary Activities, which amount to USD 11.2 million, as well as the fees related to joint implementation, which amount to USD 0.04 million. Table 9 shows the voluntary contributions to the Trust Fund for Participation in the UNFCCC Process, which amount to USD 1.6 million.

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6. The various fees paid towards the clean development mechanism for 2014 are listed in table 10 and amount to USD 6.8 million.

Table 1

Trust Fund for the Core Budget of the UNFCCC (Convention): status of contributions as at 15 November 2014

Party	*Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)*	*Indicative contributions for 2014 (EUR)*	*Amount paid in 2014 (EUR)*	*Contributions received in advance for future years (EUR)*	*Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)*	*Outstanding contributions for 1996–2009 as at 15 November 2014 (USD) (see table 2)*
Afghanistan	12	851	862		1	
Albania	(1 702)	1 702	1 702	1 702		
Algeria		23 322	23 322			
Andorra	21	1 362	1 362		21	
Angola	(1 702)	1 702				
Antigua and Barbuda	1 104	340			1 444	
Argentina	(1)	73 541			73 540	
Armenia		1 192	1 192			
Australia		353 065	353 065			
Austria		135 847	135 847			
Azerbaijan		6 809	6 809			
Bahamas	(236)	2 894	2 658			
Bahrain	20 047	6 639	3 513		23 173	
Bangladesh	1 519	1 702	3 352	131		
Barbados		1 362	1 362			
Belarus		9 533	9 533			
Belgium	(78 504)	169 894	91 390			
Belize	151	175			326	
Benin	1 658	511			2 169	133
Bhutan	(318)	175		143		
Bolivia (Plurinational State of)	1 328	1 532	1 328		1 532	
Bosnia and Herzegovina		2 894			2 894	
Botswana	(243)	2 894	2 651			
Brazil		499 467			499 467	
Brunei Darussalam	6 926	4 426			11 352	
Bulgaria		8 001	8 001			
Burkina Faso	1 658	511	241		1 928	
Burundi	567	175			742	2 203
Cabo Verde	567	175	308		434	
Cambodia		681	967	286		
Cameroon	6 225	2 043			8 268	
Canada	(507 978)	507 978				

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 1996–2009 as at 15 November 2014 (USD) (see table 2)
Central African Republic	(15)	175			160	
Chad	1 104	340			1 444	3 005
Chile	(996)	56 858	55 862			
China		876 365	665 244		211 121	
Colombia	(3 676)	44 091	58 760	18 345		
Comoros	567	175			742	30
Congo		851			851	
Cook Islands	153	175	328			
Costa Rica	8 316	6 469	2 709		12 076	
Côte d'Ivoire	5 671	1 873			7 544	1 459
Croatia		21 449	21 449			
Cuba	38 932	11 746			50 678	9 928
Cyprus		8 001	8 001			
Czech Republic	(65 710)	65 710	69 112	69 112		
Democratic People's Republic of Korea	3 719	1 021			4 740	
Democratic Republic of the Congo	1 658	511			2 169	832
Denmark	(163 117)	114 908		48 209		
Djibouti	567	175			742	158
Dominica	567	175			742	3 005
Dominican Republic	23 647	7 661			31 308	29 960
Ecuador		7 490	2 652		4 838	
Egypt	19 772	22 811			42 583	
El Salvador	5 223	2 724	2 862		5 085	
Equatorial Guinea	4 715	1 702			6 417	
Eritrea	151	175	326			
Estonia		6 809	6 809			
Ethiopia	1 476	1 702	3 178			
European Union		436 493	436 493			
Fiji		511	511			
Finland	(11 432)	88 351	76 919			
France		952 119	952 119			
Gabon	5 060	3 405			8 465	
Gambia	567	175			742	472
Georgia	147	1 192			1 339	

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 1996–2009 as at 15 November 2014 (USD) (see table 2)
Germany	(212 652)	1 215 641	1 002 989			
Ghana	(5 127)	2 383		2 744		
Greece	(12 905)	108 609			95 704	
Grenada	567	175			742	
Guatemala	10 531	4 596			15 127	
Guinea	960	175			1 135	5 259
Guinea-Bissau	567	175			742	2 953
Guyana	(175)	175				
Haiti	1 658	511			2 169	308
Honduras	1 454	1 362			2 816	
Hungary	(45 282)	45 282				
Iceland	(3 697)	4 596	887		12	
India	245 861	113 376			359 237	
Indonesia	51 054	58 901	51 054		58 901	
Iran (Islamic Republic of)	146 879	60 603	6 677		200 805	
Iraq		11 576			11 576	
Ireland	(71 158)	71 158	74 841	74 841		
Israel		67 413	41 531		25 882	
Italy	629 747	757 201	777 358		609 590	
Jamaica		1 873			1 873	
Japan		1 844 146	1 844 146			
Jordan	1 622	3 745			5 367	
Kazakhstan	43	20 598	20 641			
Kenya		2 213			2 213	
Kiribati	151	175	240		86	
Kuwait	108 602	46 474			155 076	
Kyrgyzstan	391	340	1 083	352		
Lao People's Democratic Republic	711	340	1 016		35	
Latvia	(19 880)	8 001	8 415	20 294		
Lebanon	19 560	7 150	1 339		25 371	
Lesotho	(175)	175				
Liberia	567	175			742	776
Libya	73 190	24 173			97 363	66 351
Liechtenstein	(3)	1 532	1 529			
Lithuania		12 427	12 427			

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 1996–2009 as at 15 November 2014 (USD) (see table 2)
Luxembourg	11 952	13 789	25 741			
Madagascar	443	511			954	
Malawi	593	340			933	
Malaysia		47 836	47 836			
Maldives	(175)	175	295	295		
Mali	739	681			1 420	
Malta	2 361	2 724			5 085	
Marshall Islands	151	175			326	
Mauritania	711	340			1 051	2 570
Mauritius		2 213	2 213			
Mexico	(124 039)	313 571	189 532			
Micronesia (Federated States of)	333	175	182		326	
Monaco		2 043	2 043			
Mongolia	(6)	511	261		244	
Montenegro	1 384	851	3 613	1 378		
Morocco	9 156	10 555	9 156		10 555	
Mozambique		511	726	215		
Myanmar	(529)	1 702	1 173			
Namibia	(2 417)	1 702		715		
Nauru	567	175			742	1 640
Nepal	3 314	1 021			4 335	4 614
Netherlands		281 567	281 567			
New Zealand	(4 873)	43 069	38 196			
Nicaragua	1 658	511			2 169	848
Niger	1 104	340			1 444	1 088
Nigeria	44 865	15 321			60 186	22 080
Niue		175	175			
Norway		144 869	205 648	60 779		
Oman	2 577	17 364	19 941			
Pakistan	42 460	14 470	7 254		49 676	
Palau	567	175			742	184
Panama	(4 282)	4 426	144			
Papua New Guinea	1 399	681			2 080	1 378
Paraguay	4 310	1 702	570		5 442	
Peru		19 917			19 917	

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 1996–2009 as at 15 November 2014 (USD) (see table 2)
Philippines		26 216			26 216	
Poland	(153 816)	156 786	2 970			
Portugal	69 941	80 691			150 632	
Qatar	30 839	35 579			66 418	
Republic of Korea		339 447	339 447			
Republic of Moldova	(725)	511		214		
Romania		38 473	38 473			
Russian Federation		415 031	415 031			
Rwanda	51	340	391			
Saint Kitts and Nevis	151	175	395	69		
Saint Lucia	306	175	155		326	
Saint Vincent and the Grenadines	567	175			742	2 140
Samoa	151	175			326	
San Marino	(1)	511	510			
Sao Tome and Principe	567	175			742	933
Saudi Arabia		147 082	147 082			
Senegal	3 314	1 021			4 335	1 073
Serbia		6 809	6 809			
Seychelles		175	490	315		
Sierra Leone	567	175			742	2 810
Singapore		65 370	65 370			
Slovakia	(17 934)	29 110	11 176			
Slovenia		17 023			17 023	
Solomon Islands	427	175			602	
Somalia	567	175			742	
South Africa	(3 220)	62 327	60 107			
South Sudan[a]		426			426	
Spain		506 106	506 106			
Sri Lanka	9 826	4 256			14 082	
Sudan	5 524	1 702			7 226	
Suriname	590	681			1 271	
Swaziland	(1)	511			510	
Sweden		163 425	163 425			
Switzerland	(20 220)	178 235	158 015			
Syrian Arab Republic	15 436	6 128			21 564	1 490

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 1996–2009 as at 15 November 2014 (USD) (see table 2)
Tajikistan	(511)	511				
Thailand		40 686	74 077	33 391		
the former Yugoslav Republic of Macedonia	147	1 362	1 509			
Timor-Leste		340			340	
Togo	151	175			326	
Tonga	567	175			742	170
Trinidad and Tobago	(12)	7 490	7 478			
Tunisia		6 128	6 128			
Turkey		226 071	226 071			
Turkmenistan	2 804	3 234			6 038	
Tuvalu	567	175	63		679	
Uganda	40	1 021			1 061	
Ukraine	14 608	16 853			31 461	
United Arab Emirates		101 289	101 289			
United Kingdom of Great Britain and Northern Ireland	(343 899)	881 642			537 743	
United Republic of Tanzania	4 567	1 532			6 099	1 463
United States of America	23 977	3 745 150			3 769 127	
Uruguay	7 673	8 852	7 673		8 852	
Uzbekistan	297	2 554	2 851			
Vanuatu	527	175			702	
Venezuela (Bolivarian Republic of)	180 337	106 737	1 036		286 038	
Viet Nam	11 168	7 150			18 318	
Yemen	5 524	1 702			7 226	12 603
Zambia	(597)	1 021	424			
Zimbabwe	1 510	340			1 850	7 018
Total	**97 901**	**17 460 153**	**10 039 789**	**333 530**	**7 851 795**	**190 934**

[a] South Sudan's pro rata contribution for 2014 will be credited as miscellaneous income per rule 3.8 of the United Nations Financial Regulations and Rules.

Table 2

Trust Fund for the Core Budget of the UNFCCC (Convention): status of outstanding contributions for the years 1996–2009 as at 15 November 2014

(United States dollars)

Party	*Arrears for 1996–2009 and advances as at 31 December 2013*	*Amount paid in 2014*	*Amount converted into euros and offset against euro contributions for 2014*	*Contributions received in advance for future years*	*Outstanding contributions as at 15 November 2014*
Algeria		14		14	
Angola	(6 063)		3 614	2 449	
Benin	133				133
Burkina Faso	425	425			
Burundi	2 203				2 203
Cabo Verde	178	178			
Chad	3 005				3 005
Comoros	30				30
Côte d'Ivoire	1 459				1 459
Cuba	9 928				9 928
Democratic Republic of the Congo	832				832
Djibouti	960	802			158
Dominica	3 005				3 005
Dominican Republic	29 960				29 960
Gambia	472				472
Guinea	5 259				5 259
Guinea-Bissau	2 953				2 953
Guyana	(4 075)		242	3 833	
Haiti	308				308
Iran (Islamic Republic of)	40 542	40 542			
Lao People's Democratic Republic	316	316			
Lebanon	2 749	2 749			
Lesotho	(1 010)		339	671	
Liberia	776				776
Libya	66 351				66 351
Maldives	(850)		339	511	
Mauritania	2 570				2 570
Nauru	1 640				1 640
Nepal	4 614				4 614
Nicaragua	848				848
Niger	1 088				1 088
Nigeria	22 080				22 080

Party	*Arrears for 1996–2009 and advances as at 31 December 2013*	*Amount paid in 2014*	*Amount converted into euros and offset against euro contributions for 2014*	*Contributions received in advance for future years*	*Outstanding contributions as at 15 November 2014*
Palau	184				184
Panama		1 698		1 698	
Papua New Guinea	1 378				1 378
Paraguay	770	770			
Saint Vincent and the Grenadines	2 140				2 140
Sao Tome and Principe	933				933
Senegal	1 073				1 073
Sierra Leone	2 810				2 810
Syrian Arab Republic	1 490				1 490
Tajikistan	(2 782)		1 084	1 698	
Tonga	170				170
Tuvalu	184	184			
United Republic of Tanzania	1 463				1 463
Yemen	12 603				12 603
Zimbabwe	7 018				7 018
Total	**222 120**	**47 678**	**5 618**	**10 874**	**190 934**

Table 3

List of Parties according to their status of contributions[a] to the Trust Fund for the Core Budget of the UNFCCC (Convention) as at 15 November 2014

(a) Parties that have paid contributions up to and including 2014

Afghanistan	Cyprus	Lesotho	Romania
Albania	Czech Republic	Liechtenstein	Russian Federation
Algeria	Denmark	Lithuania	Rwanda
Andorra	Eritrea	Luxembourg	Saint Kitts and Nevis
Angola	Estonia	Malaysia	San Marino
Armenia	Ethiopia	Maldives	Saudi Arabia
Australia	European Union	Mauritius	Serbia
Austria	Fiji	Mexico	Seychelles
Azerbaijan	Finland	Monaco	Singapore
Bahamas	France	Mongolia	Slovakia
Bangladesh	Germany	Montenegro	South Africa
Barbados	Ghana	Mozambique	Spain
Belarus	Guyana	Myanmar	Sweden
Belgium	Hungary	Namibia	Switzerland
Bhutan	Iceland	Netherlands	Tajikistan
Botswana	Ireland	New Zealand	Thailand
Bulgaria	Israel	Niue	the former Yugoslav Republic of Macedonia
Cambodia	Japan	Norway	Trinidad and Tobago
Canada	Kazakhstan	Oman	Tunisia

Chile	Kiribati	Panama	Turkey
China	Kyrgyzstan	Poland	United Arab Emirates
Colombia	Lao People's Democratic Republic	Republic of Korea	Uzbekistan
Cook Islands	Latvia	Republic of Moldova	Zambia
Croatia			
Total: 93 Parties			

[a] For the purpose of these tables only, a Party is considered not to have a contribution outstanding for a particular year if it has paid 50 per cent or more of that contribution.

(b) Parties with outstanding contributions for 2014

Argentina	Georgia	Kenya	Swaziland
Bolivia (Plurinational State of)	Greece	Morocco	Timor-Leste
Bosnia and Herzegovina	Indonesia	Peru	Uganda
Brazil	Iraq	Philippines	United Kingdom of Great Britain and Northern Ireland
Central African Republic	Italy	Slovenia	United States of America
Congo	Jamaica	South Sudan	Uruguay
Ecuador	Jordan		
Total: 26 Parties			

(c) Parties with outstanding contributions for the years 2013–2014

Belize	Madagascar	Portugal	Surname
Costa Rica	Mali	Qatar	Togo
Egypt	Malta	Saint Lucia	Turkmenistan
El Salvador	Marshall Islands	Samoa	Ukraine
Honduras	Micronesia (Federated States of)		
Total: 18 Parties			

(d) Parties with outstanding contributions for the years 2012–2014

Brunei Darussalam	Cabo Verde	Gabon	Viet Nam
Total: 4 Parties			

(e) Parties with outstanding contributions for the years 2011–2014

Bahrain	India	Pakistan	Sri Lanka
Burkina Faso	Kuwait	Paraguay	Venezuela (Bolivarian Republic of)
Guatemala	Malawi	Solomon Islands	
Total: 11 Parties			

(f) Parties with outstanding contributions for the years 2010–2014

Antigua and Barbuda	Democratic People's Republic of Korea	Iran (Islamic Republic of)	Sudan

Cameroon	Equatorial Guinea	Lebanon	Tuvalu
Comoros	Grenada	Somalia	Vanuatu
Total: 12 Parties			

(g) Parties with outstanding contributions for the years 2004–2014

Benin	Gambia	Nigeria	Syrian Arab Republic
Côte d'Ivoire	Haiti	Palau	Tonga
Cuba	Liberia	Papua New Guinea	United Republic of Tanzania
Democratic Republic of the Congo	Libya	Sao Tome and Principe	Zimbabwe
Djibouti	Nicaragua	Senegal	
Total: 19 Parties			

(h) Parties with outstanding contributions for the years 1996–2014

Burundi	Guinea	Nauru	Saint Vincent and the Grenadines
Chad	Guinea-Bissau	Nepal	Sierra Leone
Dominica	Mauritania	Niger	Yemen
Dominican Republic			
Total: 13 Parties			

Table 4
Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol): status of contributions as at 15 November 2014

Party	*Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)*	*Indicative contributions for 2014 (EUR)*	*Amount paid in 2014 (EUR)*	*Contributions received in advance for future years (EUR)*	*Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)*	*Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)*
Afghanistan	231	459	231		459	
Albania	(703)	918	918	703		
Algeria	285	12 578	12 863			
Angola	(918)	918				
Antigua and Barbuda	747	184			931	
Argentina		39 664			39 664	
Armenia		643	643			
Australia		190 422	190 422			
Austria		73 267	73 173		94	
Azerbaijan		3 673	3 673			
Bahamas		1 561	1 561			
Bahrain	14 561	3 581	2 241		15 901	
Bangladesh	1 439	918	2 357			
Barbados	9	735	561		183	

Party	*Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)*	*Indicative contributions for 2014 (EUR)*	*Amount paid in 2014 (EUR)*	*Contributions received in advance for future years (EUR)*	*Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)*	*Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)*
Belarus		5 142			5 142	
Belgium		91 630	91 630			
Belize	95	71			166	
Benin	1 121	275			1 396	576
Bhutan	(71)	71				
Bolivia (Plurinational State of)	833	826	833		826	
Bosnia and Herzegovina	716	1 561			2 277	
Botswana		1 561	1 561			
Brazil	246 627	269 381	245 499		270 509	
Brunei Darussalam	4 953	2 387			7 340	
Bulgaria		4 315	4 315			
Burkina Faso	1 121	275			1 396	
Burundi	374	71			445	489
Cabo Verde	374	71	190		255	
Cambodia		367	367			
Cameroon	4 200	1 102			5 302	503
Central African Republic		71			71	
Chad	747	184			931	
Chile		30 666	30 666			
China	469 656	472 657	469 656		472 657	
Colombia		23 780	23 780			
Comoros	374	71			445	92
Congo	287	459			746	
Cook Islands	184	71	212		43	
Costa Rica	6 610	3 489	3 019		7 080	
Côte d'Ivoire	3 827	1 010			4 837	2 218
Croatia		11 569	11 569			
Cuba	26 325	6 335			32 660	6 247
Cyprus		4 315	4 315			
Czech Republic	(35 440)	35 440	37 276	37 276		
Democratic People's Republic of Korea	2 521	551			3 072	
Democratic Republic of the Congo	1 121	275			1 396	696
Denmark	(61 974)	61 974				
Djibouti	374	71			445	158
Dominica	374	71			445	474

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)
Dominican Republic	15 959	4 132			20 091	12 128
Ecuador	1 088	4 040	1 088		4 040	
Egypt	12 429	12 303			24 732	
El Salvador	4 991	1 469	2 201		4 259	
Equatorial Guinea	2 958	918			3 876	23
Eritrea	174	71	233		12	
Estonia		3 673	3 673			
Ethiopia	1 090	918	2 001		7	
European Union		176 559	176 559			
Fiji		275	275			
Finland		47 651	47 651			
France		513 514	513 514			
Gabon	4 266	1 836			6 102	
Gambia	374	71			445	414
Georgia	90	643			733	
Germany		655 641	655 641			
Ghana	(1 285)	1 285				
Greece		58 577			58 577	
Grenada	374	71			445	
Guatemala	7 674	2 479			10 153	
Guinea	655	71			726	867
Guinea-Bissau	374	71			445	409
Guyana	(71)	71				
Haiti	1 121	275			1 396	227
Honduras	1 468	735			2 203	
Hungary		24 422	24 422			
Iceland		2 479			2 479	
India	183 777	61 148			244 925	
Indonesia	31 140	31 768	16 373		46 535	
Iran (Islamic Republic of)	98 387	32 686			131 073	
Iraq	4	6 243			6 247	
Ireland	(38 378)	38 378	40 367	40 367		
Israel		36 358			36 358	
Italy	411 894	408 387	411 894		408 387	
Jamaica		1 010			1 010	

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)
Japan		994 618	994 618			
Jordan	740	2 020			2 760	
Kazakhstan	36	11 109	11 073		72	
Kenya	539	1 194			1 733	
Kiribati	126	71	126		71	
Kuwait	73 881	25 065			98 946	
Kyrgyzstan	276	184	647	187		
Lao People's Democratic Republic	466	184	466		184	
Latvia	(4 929)	4 315	4 539	5 153		
Lebanon	13 154	3 856			17 010	
Lesotho	(71)	71				
Liberia	374	71			445	489
Libya	49 369	13 038			62 407	22 982
Liechtenstein		826	626		200	
Lithuania		6 702	6 702			
Luxembourg	8 025	7 437	15 462			
Madagascar	359	275			634	
Malawi	466	184			650	
Malaysia	30	25 800	25 761		69	
Maldives	(71)	71				
Mali	643	367			1 010	
Malta	1 482	1 469			2 951	
Marshall Islands	103	71			174	
Mauritania	466	184			650	440
Mauritius		1 194	1 194			
Mexico		169 121	169 121			
Micronesia (Federated States of)	278	71	181		168	
Monaco		1 102	1 102			
Mongolia		275			275	
Montenegro	842	459	1 301			
Morocco	5 756	5 692	2 292		9 156	
Mozambique		275	275			
Myanmar		918	880		38	
Namibia	(918)	918				
Nauru	374	71			445	489

Party	*Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)*	*Indicative contributions for 2014 (EUR)*	*Amount paid in 2014 (EUR)*	*Contributions received in advance for future years (EUR)*	*Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)*	*Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)*
Nepal	2 240	551			2 791	1 551
Netherlands		151 860	151 860			
New Zealand		23 229	23 229			
Nicaragua	1 121	275			1 396	885
Niger	747	184			931	489
Nigeria	30 235	8 263			38 498	16 721
Niue	23	71	63		31	
Norway		78 133	78 133			
Oman	1 595	9 365	10 960			
Pakistan	30 895	7 804	4 067		34 632	
Palau	374	71			445	92
Panama		2 387	2 387			
Papua New Guinea	932	367			1 299	1 263
Paraguay	2 891	918			3 809	
Peru	28	10 742			10 770	
Philippines		14 139			14 139	
Poland		84 560	84 560			
Portugal	43 893	43 520			87 413	
Qatar	19 537	19 189			38 726	
Republic of Korea		183 076	183 076			
Republic of Moldova	(275)	275				
Romania		20 750	20 750			
Russian Federation		223 842	223 842			
Rwanda	185	184	345		24	
Saint Kitts and Nevis	129	71	200			
Saint Lucia	237	71	88		220	
Saint Vincent and the Grenadines	374	71			445	480
Samoa	140	71			211	
San Marino		275	275			
Sao Tome and Principe	374	71			445	130
Saudi Arabia	8 165	79 327	87 385		107	
Senegal	2 240	551			2 791	1 642
Serbia		3 673	3 673			
Seychelles		71	71			
Sierra Leone	374	71			445	143

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EURO)	Indicative contributions for 2014 (EURO)	Amount paid in 2014 (EURO)	Contributions received in advance for future years (EURO)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EURO)	Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)
Singapore		35 256	35 256			
Slovakia		15 700	15 700			
Slovenia		9 181			9 181	
Solomon Islands	278	71			349	
Somalia	294	71			365	
South Africa		34 155	34 155			
Spain		272 962	272 962			
Sri Lanka	5 991	2 295			8 286	
Sudan	2 958	918			3 876	
Suriname	370	367			737	
Swaziland		275			275	
Sweden		88 141	88 141			
Switzerland		96 129	96 129			
Syrian Arab Republic	10 354	3 305			13 659	4 490
Tajikistan	(275)	275				
Thailand	7 152	21 943	29 095			
the former Yugoslav Republic of Macedonia	103	735	826		12	
Timor-Leste	144	184			328	
Togo	146	71			217	
Tonga	374	71			445	157
Trinidad and Tobago		4 040	4 040			
Tunisia	1 434	3 305	3 305		1 434	
Turkey		121 929	121 929			
Turkmenistan	2 462	1 744			4 206	
Tuvalu	374	71			445	
Uganda	556	551			1 107	
Ukraine	10 294	9 090			19 384	
United Arab Emirates	48 893	54 629	54 629		48 893	
United Kingdom of Great Britain and Northern Ireland		475 503			475 503	
United Republic of Tanzania	2 958	826			3 784	2 117
Uruguay	4 832	4 774	3 571		6 035	
Uzbekistan	1 389	1 377	2 766			
Vanuatu	374	71			445	
Venezuela (Bolivarian Republic of)	146 225	57 567	6 142		197 650	

Party	Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)	Indicative contributions for 2014 (EUR)	Amount paid in 2014 (EUR)	Contributions received in advance for future years (EUR)	Outstanding contributions for 2010–2014 as at 15 November 2014 (EUR)	Outstanding contributions for 2005–2009 as at 15 November 2014 (USD) (see table 5)
Viet Nam	6 896	3 856			10 752	
Yemen	2 958	918			3 876	3 574
Zambia		551	539		12	
Zimbabwe	1 028	184			1 212	
Total	**1 988 345**	**7 062 361**	**5 989 938**	**83 686**	**3 144 454**	**83 655**

Table 5

Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol): status of outstanding contributions for the years 2005–2009 as at 15 November 2014

(United States dollars)

Party	Arrears for 2005–2009 and advances as at 31 December 2013	Amount paid in 2014	Amount converted into euros and offset against euro contributions for 2014	Contributions received in advance for future years	Outstanding contributions as at 15 November 2014
Benin	576				576
Burkina Faso	464	464			
Burundi	489				489
Cabo Verde	92	92			
Cameroon	503				503
Comoros	92				92
Côte d'Ivoire	2 218				2 218
Cuba	6 247				6 247
Democratic Republic of the Congo	696				696
Djibouti	489	331			158
Dominica	474				474
Dominican Republic	12 128				12 128
Equatorial Guinea	23				23
Gambia	414				414
Guinea	867				867
Guinea-Bissau	409				409
Guyana	(2 780)		98	2 682	
Haiti	227				227
Iran (Islamic Republic of)	20 757	20 757			
Lao People's Democratic Republic	184	184			
Lebanon	3 933	3 933			
Liberia	489				489

Party	*Arrears for 2005–2009 and advances as at 31 December 2013*	*Amount paid in 2014*	*Amount converted into euros and offset against euro contributions for 2014*	*Contributions received in advance for future years*	*Outstanding contributions as at 15 November 2014*
Libya	22 982				22 982
Mauritania	440				440
Nauru	489				489
Nepal	1 551				1 551
Nicaragua	885				885
Niger	489				489
Nigeria	16 721				16 721
Palau	92				92
Papua New Guinea	1 263				1 263
Paraguay	749	749			
Saint Vincent and the Grenadines	480				480
Sao Tome and Principe	130				130
Senegal	1 642				1 642
Sierra Leone	143				143
Syrian Arab Republic	4 490				4 490
Tonga	157				157
Tuvalu	184	184			
United Republic of Tanzania	2 117				2 117
Yemen	3 574				3 574
Total	**107 569**	**26 694**	**98**	**2 682**	**83 655**

Table 6

List of Parties according to their status of contributions[a] to the Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol) as at 15 November 2014

(a) Parties that have paid contributions up to and including 2014

Albania	Eritrea	Malaysia	Rwanda
Algeria	Estonia	Maldives	Saint Kitts and Nevis
Angola	Ethiopia	Mauritius	San Marino
Armenia	European Union	Mexico	Saudi Arabia
Australia	Fiji	Monaco	Serbia
Austria	Finland	Montenegro	Seychelles
Azerbaijan	France	Mozambique	Singapore
Bahamas	Germany	Myanmar	Slovakia
Bangladesh	Ghana	Namibia	South Africa
Barbados	Guyana	Netherlands	Spain
Belgium	Hungary	New Zealand	Sweden
Bhutan	Ireland	Niue	Switzerland
Botswana	Japan	Norway	Tajikistan
Bulgaria	Kazakhstan	Oman	Thailand

Cambodia	Kyrgyzstan	Panama	the former Yugoslav Republic of Macedonia
Chile	Latvia	Poland	Trinidad and Tobago
Colombia	Lesotho	Republic of Korea	Tunisia
Croatia	Liechtenstein	Republic of Moldova	Turkey
Cyprus	Lithuania	Romania	Uzbekistan
Czech Republic	Luxembourg	Russian Federation	Zambia
Denmark			
Total: 81 Parties			

[a] For the purpose of these tables only, a Party is considered not to have a contribution outstanding for a particular year if it has paid 50 per cent or more of that contribution.

(b) Parties with outstanding contributions for 2014

Afghanistan	Cook Islands	Italy	Peru
Argentina	Ecuador	Jamaica	Philippines
Belarus	Georgia	Jordan	Slovenia
Bolivia (Plurinational State of)	Greece	Kenya	Swaziland
Bosnia and Herzegovina	Iceland	Kiribati	United Arab Emirates
Brazil	Indonesia	Lao People's Democratic Republic	United Kingdom of Great Britain and Northern Ireland
Central African Republic	Iraq	Mongolia	Uruguay
China	Israel		
Total: 30 Parties			

(c) Parties with outstanding contributions for the years 2013–2014

Belize	Madagascar	Morocco	Timor-Leste
Congo	Malta	Portugal	Turkmenistan
Costa Rica	Marshall Islands	Qatar	Uganda
Egypt	Micronesia (Federated States of)	Suriname	Ukraine
Total: 16 Parties			

(d) Parties with outstanding contributions for the years 2012–2014

Cabo Verde	Honduras	Saint Lucia	Togo
El Salvador	Mali	Samoa	Viet Nam
Total: 8 Parties			

(e) Parties with outstanding contributions for the years 2011–2014

Bahrain	Guatemala	Kuwait	Solomon Islands
Brunei Darussalam	India	Pakistan	Sri Lanka
Gabon			
Total: 9 Parties			

(f) Parties with outstanding contributions for the years 2010–2014

Antigua and Barbuda	Equatorial Guinea	Malawi	Tuvalu
Burkina Faso	Grenada	Paraguay	Vanuatu
Cameroon	Iran (Islamic Republic of)	Somalia	Venezuela (Bolivarian Republic of)
Chad	Lebanon	Sudan	Zimbabwe
Democratic People's Republic of Korea			
Total: 17 Parties			

(g) Parties with outstanding contributions for the years 2005–2014

Benin	Dominican Republic	Nauru	Sao Tome and Principe
Burundi	Gambia	Nepal	Senegal
Comoros	Guinea	Nicaragua	Sierra Leone
Côte d'Ivoire	Guinea-Bissau	Niger	Syrian Arab Republic
Cuba	Haiti	Nigeria	Tonga
Democratic Republic of the Congo	Liberia	Palau	United Republic of Tanzania
Djibouti	Libya	Papua New Guinea	Yemen
Dominica	Mauritania	Saint Vincent and the Grenadines	
Total: 31 Parties			

Table 7

Trust Fund for the International Transaction Log: status of fees as at 15 November 2014

(euros)

Party	*Fees received in advance as at 31 December 2013*	*Indicative fees for 2014*	*Amount paid in 2014*	*Fees received in advance for future years*	*Outstanding fees as at 15 November 2014*
Australia		77 854	77 854		
Austria		43 526	43 483		43
Belgium		54 071	54 071		
Bulgaria		974	974		
Croatia		2 178	2 178		
Czech Republic		13 783	27 566	13 783	
Denmark		36 248	36 248		
Estonia		774	774		
European Union		73 584	73 584		
Finland	(27 651)	27 651			
France		292 360			292 360
Germany		420 702	420 702		
Greece	(29 398)	29 199		199	
Hungary	(11 978)	11 978			
Iceland		20 201	20 176		25
Ireland	(21 835)	21 835	21 835	21 835	

Party	*Fees received in advance as at 31 December 2013*	*Indicative fees for 2014*	*Amount paid in 2014*	*Fees received in advance for future years*	*Outstanding fees as at 15 November 2014*
Italy		249 121	249 121		
Japan		409 442	204 721		204 721
Latvia	(1 065)	888	711	888	
Liechtenstein		5 158	5 158		
Lithuania	(1 821)	1 519		302	
Luxembourg		4 184	4 184		
Monaco		4 957	4 957		
Netherlands	(110 154)	91 866		18 288	
New Zealand	(26 268)	26 333	77	12	
Norway	(76 207)	63 555	50 903	63 555	
Poland		24 557	24 557		
Portugal		25 846	51 692	25 846	
Romania		3 439	3 439		
Russian Federation		75 189	75 189		
Slovakia	(3 711)	3 095	2 479	3 095	
Slovenia		4 699	4 699		
Spain		145 564	145 564		
Sweden	(63 014)	52 552		10 462	
Switzerland	(90 707)	75 647	60 587	75 647	
Ukraine		20 431	14 688		5 743
United Kingdom of Great Britain and Northern Ireland		325 800	325 800		
Total	**463 809**	**2 740 760**	**2 007 971**	**233 912**	**502 892**

Table 8

Trust Fund for Supplementary Activities: contributions and fees received as at 15 November 2014

(United States dollars)

Party donor	*2014*
Australia	602 278
Belgium	131 197
Canada	215 442
Denmark	296 803
European Union	2 689 055
Finland	157 900
France	553 250
Germany	1 654 503
Global eSustainability Initiative	200 000
Japan	1 333 603

Party donor	*2014*
Mexico	35 000
Netherlands	198 022
New Zealand	67 260
Norway	331 958
Rockefeller Foundation	400 000
Singapore	93 583
Sweden	216 900
Switzerland	96 808
The Children's Investment Fund Foundation	180 000
United Kingdom of Great Britain and Northern Ireland	564 484
United States of America	138 896
World Bank	1 000 000
Subtotal	**11 156 942**
Joint implementation determination fees	15 000
Joint implementation verification fees	0
Joint implementation Track 1 fees	20 000
Subtotal	**35 000**
Total	**11 191 942**

Table 9

Trust Fund for Participation in the UNFCCC Process: contributions received as at 15 November 2014

(United States dollars)

Party	*2014*
Belgium	67 480
Finland	131 752
France	254 130
Germany	339 213
Sweden	216 900
United Kingdom of Great Britain and Northern Ireland	574 687
Total	**1 584 162**

Table 10

Trust Fund for the Clean Development Mechanism: status of fee-based income as at 15 November 2014

(United States dollars)

	2014
Clean development mechanism accreditation fees	15 000
Clean development mechanism accreditation process-related fees	129 098
Clean development mechanism methodology fees	4 000
Clean development mechanism project registration fees	1 379 671
Clean development mechanism share of proceeds	5 264 866

	2014
Total	6 792 635

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Item 5 of the provisional agenda
Work programme to further the understanding of the diversity of nationally appropriate mitigation actions

The extent of the matching of nationally appropriate mitigation actions with financial, technical and capacity-building support in the registry of nationally appropriate mitigation actions

Note by the secretariat

Summary

This document contains a report on the extent of the matching of mitigation actions with financial, technical and capacity-building support under the registry of nationally appropriate mitigation actions. It also summarizes information on the activities of the secretariat to engage with Parties and entities to support them in using the registry.

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Contents

		Paragraphs	*Page*
I.	Introduction	1–3	3
	A. Mandate	1–2	3
	B. Scope of the note	3	3
II.	Information on the extent of the matching of mitigation actions with support under the registry and recorded sources of support	4–7	3
	A. The supported nationally appropriate mitigation actions under the registry	4–6	3
	B. Sources of support recorded in the registry	7	5
III.	Engagement and support activities for relevant Parties and entities	8–13	6
	A. Efforts of the secretariat to engage with and support Parties and entities	8–12	6
	B. Future activities	13	7
Annex			
	An analysis of information relating to the extent of the matching, the support available and the engagement with entitites		9

I. Introduction

A. Mandate

1. The Subsidiary Body for Implementation (SBI), at its thirty-ninth session, requested the secretariat to report to SBI 40 and 41 on the extent of the matching of mitigation actions with financial, technical and capacity-building support under the registry pursuant to decision 1/CP.18, paragraph 19(c), for consideration by the Parties.[1]

2. The Conference of the Parties (COP), at its eighteenth session, requested the secretariat to:

(a) Continue to provide technical assistance to the Parties and entities referred to in decision 2/CP.17, paragraphs 46–48, in using the registry;[2]

(b) Engage with the Parties and entities referred to in decision 2/CP.17, paragraph 48, to facilitate the provision of information on support.[3]

B. Scope of the note

3. In response to the above mandate, this note provides information on the extent of the matching of mitigation actions with financial, technical and capacity-building support under the registry. It also highlights information on the sources of support available under the registry and presents an overview of the activities of the secretariat to engage with and support Parties and entities to increase the use of the registry and facilitate the matching of financial, technical and capacity-building support with the mitigation actions. It is divided into two parts, as follows:

(a) Chapter II summarizes information on the extent of the matching of mitigation actions with support under the registry as well as on sources of support that have been recorded in the registry;

(b) Chapter III presents an overview of the secretariat's activities to engage with and support Parties and entities to increase the use of the registry and facilitate the matching of support with mitigation actions.

II. Information on the extent of the matching of mitigation actions with support under the registry and recorded sources of support

A. The supported nationally appropriate mitigation actions under the registry

4. As at 6 November 2014, the following information had been recorded in the registry:

(a) Twenty-one nationally appropriate mitigation actions (NAMAs) seeking support for preparation;

[1] FCCC/SBI/2013/L.8, paragraph 6(a).
[2] Decision 16/CP.18, paragraph 11(c).
[3] Decision 16/CP.18, paragraph 11(d).

(b) Thirty-seven NAMAs seeking support for implementation;

(c) Four NAMAs for recognition;

(d) Fourteen entries with information on support for NAMAs;

(e) Eight entries on the matching of NAMAs with support.

5. As at 6 November 2014, the registry had recorded a total of eight entries on the matching of NAMAs with support recorded in the registry. The registry recorded six additional entries during this reporting period in addition to the two entries recorded in the previous reporting period.

6. Table 1 provides an overview of supported NAMAs in the registry. The amount of support that has been matched to NAMAs amounts to USD 27,430,492.[4]

Table 1

An overview of supported nationally appropriate mitigation actions in the registry

Origin	*Support sources*	*NAMAs*	*Parties*	*Type of support*	*Amount of support (USD)*
Austria	Support for activities related to the sustainable management of forests (S-99)	Adaptive Sustainable Forest Management in Borjomi-Bakuriani Forest District (NS-85)	Georgia	Financial	1 940 492
International	Global Environment Facility Trust Fund (S-63)	NAMAs for low-carbon end-use sectors in Azerbaijan (NS-95)	Azerbaijan	Financial	100 000
International	Global Environment Facility Trust Fund (S-63)	NAMAs for low-carbon urban development in Kazakhstan (NS-124)	Kazakhstan	Financial	5 930 000
Japan	Official development assistance for climate change measures (S-122)	Expansion of existing heating network in Valjevo (NS-31)	Serbia	Technical	960 000
Japan	Official development assistance for climate change measures (S-122)	Introduction of metering system and billing on the basis of measured consumption in district heating systems in Serbia (NS-32)	Serbia	Capacity-building	
Japan	Official development assistance for climate change measures (S-122)	Use of solar energy for domestic hot water production in the "Cerak" heat plant in Belgrade (NS-33)	Serbia	Capacity-building	

[4] The detailed analysis of information relating to the matching of NAMAs with support under the registry can be found in section I of the annex.

Origin	*Support sources*	*NAMAs*	*Parties*	*Type of support*	*Amount of support (USD)*
Spain	Spanish NAMA Platform (S-143)	High Integration Program of Wind Energy (NS-4)	Uruguay	Financial, technical and capacity-building	
Germany, United Kingdom of Great Britain and Northern Ireland	NAMA Facility (S-62)	Colombia TOD NAMA (NS-127)	Colombia	Financial	18 500 000

Abbreviation: NAMAs = nationally appropriate mitigation actions.

B. Sources of support recorded in the registry

7. As at 6 November 2014, 14 entries on support had been recorded in the registry. Out of 14 sources of support, 9 are available for the preparation of NAMAs, 4 for the implementation of NAMAs and 1 for both preparation and implementation. Table 2 presents the summary of sources of support recorded in the registry.[5]

Table 2
Summary of sources of support recorded in the nationally appropriate mitigation action registry

Source of support	*Origin*	*Support available*
Climate-related ODA funding	Germany	Grants and concessional loans for the preparation of NAMAs in all countries
International Climate Initiative	Germany	Grants and loans for the preparation of NAMAs in all countries
NAMA facility	Germany/United Kingdom of Great Britain and Northern Ireland	Grants and concessional loans for the implementation of NAMAs in all countries
Global Environment Facility Trust Fund	International	Grants for the preparation and implementation of NAMAs in all countries
EU–Africa Infrastructure Trust Fund	12 EU countries	Grants, guarantees and equity for the preparation of NAMAs in Eastern European, Middle Eastern and North African countries
Neighbourhood Investment Facility	EU	Grants, guarantees and equity for the preparation of NAMAs in Eastern European and North African countries
Latin American Investment Facility	EU	Grants and loans for the implementation of NAMAs in Latin American and Caribbean countries

[5] The detailed analysis of information relating to sources of support is presented in section III of the annex.

Source of support	*Origin*	*Support available*
Austrian NAMA Initiative	Austria	Grants and carbon finance for the preparation of NAMAs in African States, least developed countries and small island developing States
Support for activities related to the sustainable management of forest	Austria	Grant for the implementation of NAMAs in the Caucasus region
ODA for climate change measures	Japan	Grants, concessional loans and technical assistance for the preparation of NAMAs in all countries
Inter-American Development Bank support for the design, development and implementation of NAMAs in the Latin American and Caribbean region	Regional	Grants, loans and guarantees for the preparation of NAMAs in Latin American and Caribbean countries and small island developing States
Spanish NAMA Platform	Spain	Loans, guarantees, equity and carbon finance for the preparation of NAMAs in all countries
FAOSTAT Emissions Database	Food and Agriculture Organization of the United Nations	Capacity-building and technical support for the preparation of NAMAs in all countries in the agriculture and forestry sectors
NEFCO Carbon Finance and Funds	Nordic Environment Finance Corporation	Grants and carbon finance for the preparation of NAMAs in Asia and the Pacific, Latin American and the Caribbean countries

Abbreviations: EU = European Union, NAMAs = nationally appropriate mitigation actions, ODA = official development assistance.

III. Engagement and support activities for relevant Parties and entities

A. Efforts of the secretariat to engage with and support Parties and entities

8. Recognizing that the limited awareness of the potential and benefits of the registry among Parties and entities is one of the reasons for limited entries in the registry, the secretariat engaged with Parties and entities to increase the registry use and facilitate the matching of NAMAs with support under the registry in line with decision 16/CP.18, paragraph 11(c) and (d).

9. The secretariat undertook a first phase of outreach and support activities during the reporting period (from February to November 2014). The activities aimed to promote the use of the registry among Parties and support providers by:

(a) Communicating to them the potential role of the registry;

(b) Showcasing information on mitigation actions and support;

(c) Highlighting the associated benefits of recording information in the registry.[6]

10. A total of 103 entities were identified and contacted for engagement and support activities, which were categorized into the following three priority groups:

(a) Priority group one: entities which have provided or received support for NAMAs but have not made entries in the registry;

(b) Priority group two: entities likely to support NAMAs;

(c) Priority group three: new NAMA proponents seeking support for the preparation/implementation of NAMAs but which have not made entries in the registry.

11. Out of 103 entities contacted, 21 entities (more than 20 per cent) showed their interest in using the registry and 15 entities (almost 15 per cent) showed interest followed by the actual use of the registry. However, there remained two thirds of contacted entities which were not interested in using the registry. Most of these entities (63 entities, 61 per cent) did not respond at all, and 4 entities (nearly 4 per cent) were not interested in using the registry.[7]

12. According to the responses, the following factors may prevent a more active use of the registry:

(a) Providers of support primarily based on investment capital from the private sector expressed concern in attracting conspicuous amounts of projects not feasible to their investments;

(b) Similarly, organizations providing indirect technical and capacity-building support do not clearly understand how to include their activities in the registry;

(c) Some providers of support expressed the need for clearer forecasting of the consequences of using the registry in terms of workload and communication impacts;

(d) For some of the Parties not included in Annex I to the Convention, the level of engagement in NAMA-related activities is at an early stage that prevents them from using the registry.

B. Future activities

13. In 2015 the secretariat will focus on engaging with and supporting Parties and entities in the effective and increased use of the registry. Particular emphasis will be placed on the submission and recording of quality NAMA entries, increasing the number of entries on support and the matching of NAMAs with support recorded in the registry. The secretariat will continue raising awareness of the platform, encouraging and supporting users and undertaking engagement and support activities for potential providers of support, including multilateral and bilateral agencies and banks, and the private sector. This will be achieved, subject to the availability of funding, through a combination of targeted capacity-building, outreach and communication activities, such as:

[6] Detailed information about the outreach strategy is included in chapter II of the annex.

[7] The distribution of the response rate per priority group is presented in chapter II of the annex.

(a) Revising and developing technical materials such as manuals for registry users, baseline scenarios, measurement, reporting and verification, and finance information that will enable the registry users to input accurate and reliable information in the registry;

(b) Supporting the registry users in entering information on new NAMAs;

(c) Assisting NAMA developers to find support by promoting NAMAs and facilitating networking and relationships between NAMA developers and support providers;

(d) Contacting proponents of each individual NAMA entry in the course of 2015 to update the information on the NAMAs;

(e) Using online communication tools (such as webinars, mailing lists and online discussions) as well as in-person events (such as dedicated forums/sessions during NAMA regional workshops, events during sessions of the COP and the subsidiary bodies, and events organized by partners) to build the capacity of the registry users, share knowledge of registry use, highlight best practices in the preparation of NAMAs and match NAMAs with support.

Annex

An analysis of information relating to the extent of the matching, the support available and the engagement with entities

I. Introduction

1. The analysis presented in this annex is divided into three parts as follows:[1]

(a) Matching of nationally appropriate mitigation actions (NAMAs) with sources of support;

(b) Information on engagement and support sources;

(c) Sources of support available for the preparation and implementation of NAMAs.

II. Matching of nationally appropriate mitigation actions with sources of support

2. As at 6 November 2014, the registry had recorded the matching of eight NAMAs with sources of support. The recorded support provided targeted NAMAs seeking support for preparation (six entries) and implementation (two entries). Table 3 provides a summary of the support provided by type of NAMA.

Table 3
Summary of support provided by type of nationally appropriate mitigation action

Type of NAMA	*Number of matching records*
NAMAs seeking support for preparation	6
NAMAs seeking support of implementation	2
Total number of NAMAs supported	**8**

Abbreviation: NAMA = nationally appropriate mitigation action

3. The total amount of support provided to NAMAs currently recorded in the registry is USD 27,430,492, of which USD 1,940,492 is for the implementation of NAMAs and USD 25,490,000 is for the preparation of NAMAs.

III. Information on engagement and support activities

A. Target groups for engagement and support activities

4. The engagement and support activities were guided by the following objectives (listed according to priority):

(a) Increasing the number of entries on the matching of NAMAs with sources of support recorded in the registry;

[1] Since not all registry entries are complete, some of the analyses presented in this annex are based on a sample size smaller than the total number of entries recorded.

(b) Increasing the number of support entries in the registry;

(c) Increasing the number of NAMA entries in the registry.

5. In line with the objectives of the engagement and support activities, three priority target groups were selected based on existing registry information and content, and on the results of a mapping activity aimed at identifying entities involved in the preparation, implementation and provision of support for NAMAs. A total of 103 entities were identified as target groups for the activities and therefore contacted. Table 4 provides an overview of the entities identified, categorized in terms of the prioritized target groups.

Table 4

Overview of the entities identified and contacted for engagement and support activities

Prioritized target group	*Type of entity*	*Number of contacted candidates*
Priority target group one	Entities which have provided or received support for NAMAs but have not made entries on support in the registry	31
Priority target group two	Entities likely to support NAMAs	14
Priority target group three	NAMA proponents seeking support for the preparation implementation of NAMAs that have not made entries in the registry	58
Total		**103**

Abbreviation: NAMAs = nationally appropriate mitigation actions.

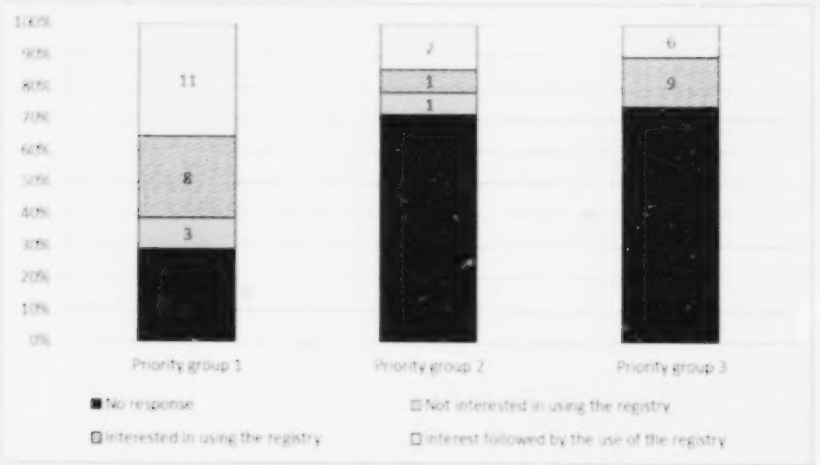
B. Responses from the target group

6. For the purpose of analysis, the responses are categorized as "no response", "not interested in using the registry", "interest in using the registry" and "interest followed by the use of the registry".

7. Figure 1 shows the distribution of the response rate among the priority target groups.

Figure 1

Characterization of response rate by type of priority target group



8. Out of the 31 entities in priority target group one, 8 entities (more than 25 per cent) showed their interest in using the registry, followed by 11 entities (more than 35 per cent) that showed interest and have already submitted information to the registry. In total, 9 entities (nearly 30 per cent) did not reply at all and 3 entities (nearly 10 per cent) were not interested in using the registry.

9. Out of the 14 entities in priority target group two, 10 entities (77 per cent) did not respond, followed by 1 entry (7 per cent) which manifested no interest in the use of the registry. Further, 3 entities showed interest in using the registry, of which two cases resulted in the submission of information to the registry (more than 14 per cent).

10. Out of the 58 entities in priority target group three, 43 entities (74 per cent) did not respond, followed by 9 entities (15.5 per cent) that showed an interest in using the registry and 6 entities (more than 10 per cent) that showed their interest followed by the use of the registry.

IV. Entries on support sources for nationally appropriate mitigation actions

A. Support available by type of nationally appropriate mitigation actions regional scope and type of support[1]

11. As at 6 November 2014, the registry had recorded 14 sources of support for NAMAs comprising 9 entries for NAMAs seeking support for preparation and 4 entries for NAMAs seeking support for implementation. One source aimed to support NAMAs both for preparation and implementation. Table 5 provides a summary of sources of support available by type of NAMA.

[1] Note that more than one type of NAMA, regional group and type of support can be selected per support record.

Table 5

Summary of sources of support available by type of nationally appropriate mitigation action

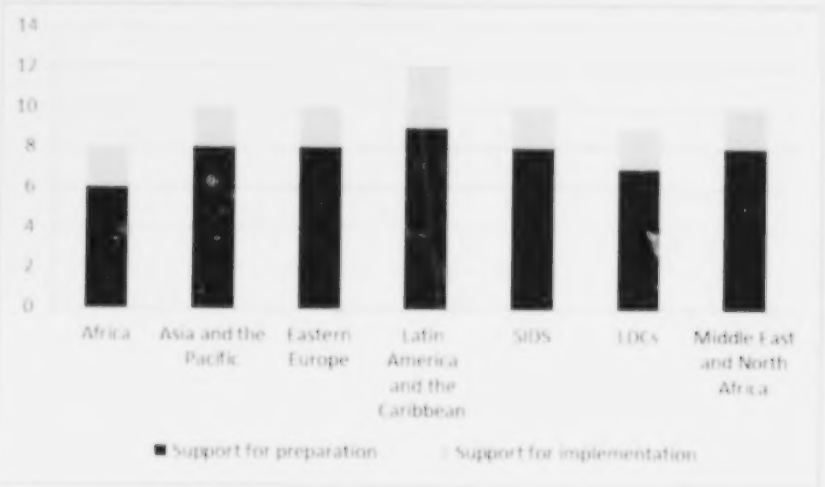
Type of NAMA	*Number of sources of support available*
NAMAs seeking support for preparation	10
NAMAs seeking support of implementation	5
Total number of support entries	**14**

Abbreviation: NAMAs = nationally appropriate mitigation actions.

12. The recorded entries for sources of support were equally distributed among different UNFCCC regional groups. However, in general, the support was mainly aimed at NAMAs seeking support for implementation in all regional groups. Figure 2 provides a summary of the sources of support available by type of NAMA and UNFCCC regional group.

Figure 2

Sources of support available by type of nationally appropriate mitigation action and UNFCCC regional group



Abbreviations: SIDS = small island developing States, LDCs = least developed countries.

13. The support mainly focused on providing financial support for the preparation and implementation of NAMAs. Financial support is offered by 13 out of 14 support entries followed by capacity-building support (offered by 10 entries) and technical support (4 entries), as indicated in table 6.

Table 6

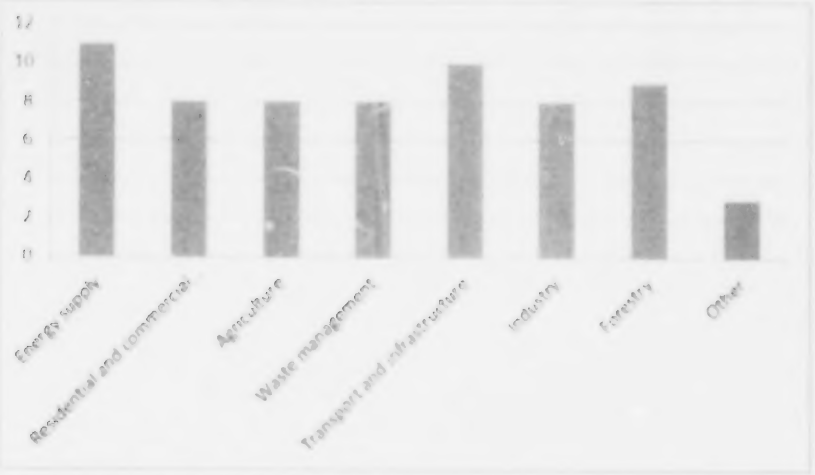
Type of support available for nationally appropriate mitigation actions

Type of support	*Total number of entries*
Financial support	13
Technical support	4
Capacity-building support	10

B. Support available by sector, type of action and technology

14. Most support entries (11 entries) identified energy supply as an applicable sector, followed by transport and infrastructure (10 entries), forestry (9 entries) residential and commercial buildings (8 entries), waste management (8 entries) and industry (8 entries). Figure 3 summarizes the distribution of support entries by sector.

Figure 3
Characterization of sources of support by sector



15. The types of actions that were targeted by the support entries were national/sectorial goals (7 entries), strategies (7 entries), national/sectorial policies or programmes (8 entries), project investment in machinery (7 entries), followed by project investment in infrastructure (7 entries). Figure 4 summarizes the types of actions specified within the support entries.

16. The support entries gave similar emphasis to all types of technology, except carbon capture and storage. Figure 5 highlights the types of technology to be supported under the sources of support recorded in the registry.

[1] Note that more than one type sector, action and technology can be selected per support record.

Figure 4
Characterization of support entries by action

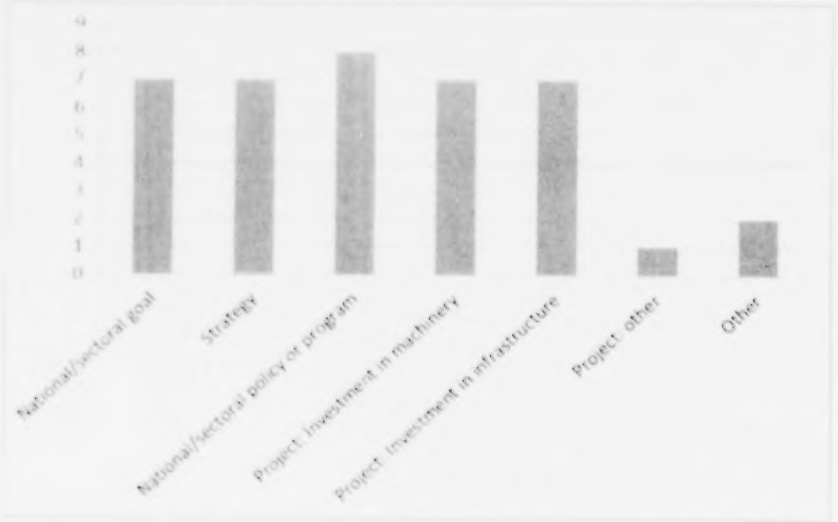
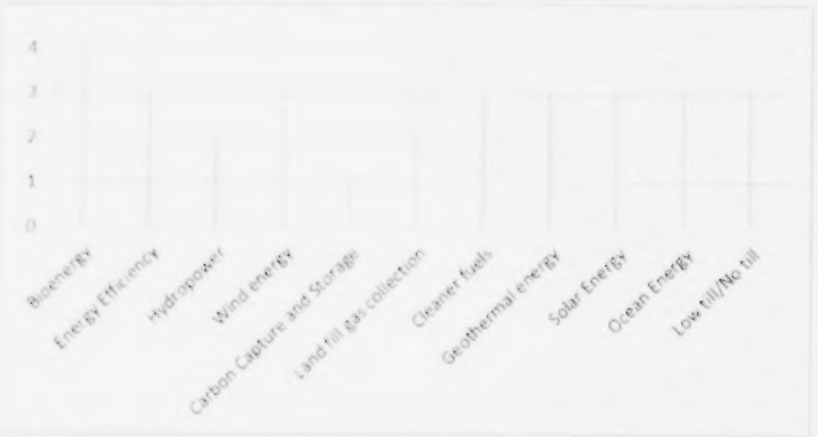


Figure 5
Types of technology to be supported



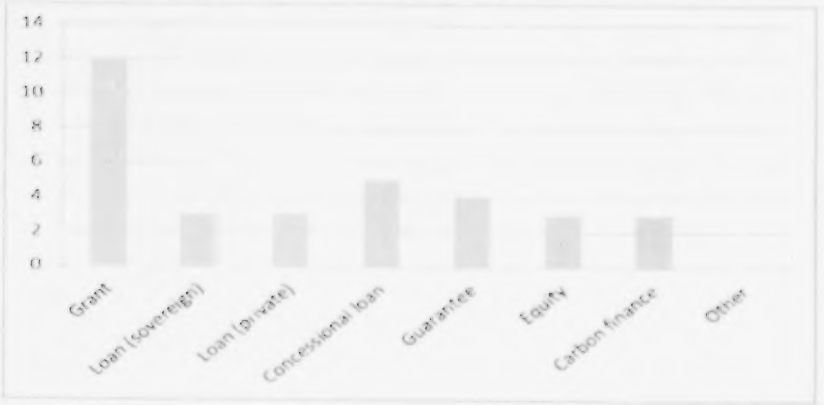
C. Financial support available for nationally appropriate mitigation actions

17. The registry allows users to provide quantitative information regarding financial support, such as the total amount and maximum financial support per NAMA. However, few registry users input such information in the registry. Hence, it is not possible to perform a quantitative analysis and derive conclusions in relation to the financial support provided due to the limited information recorded in the registry.

18. As at 6 November 2014, only three support entries had recorded the total amount of financial support provided, which amounts to USD 4,534,153.

19. Most financial support entries offered grants (12 entries), followed by resources from concessional loans (5 entries), guarantees (4 entries) and equity (3 entries). Figure 6 summarizes the type of financial support available for NAMAs.

Figure 6
Types of financial support available for nationally appropriate mitigation actions



D. Technical support available for nationally appropriate mitigation actions

20. The registry allows users to provide quantitative information regarding technical support, such as the total amount and maximum technical support per NAMA. However, such information is not available for any entries recorded in the registry. Hence, is not possible to perform a quantitative analysis and derive conclusions in relation to the technical support provided due to the non-existence of the required information in the registry.

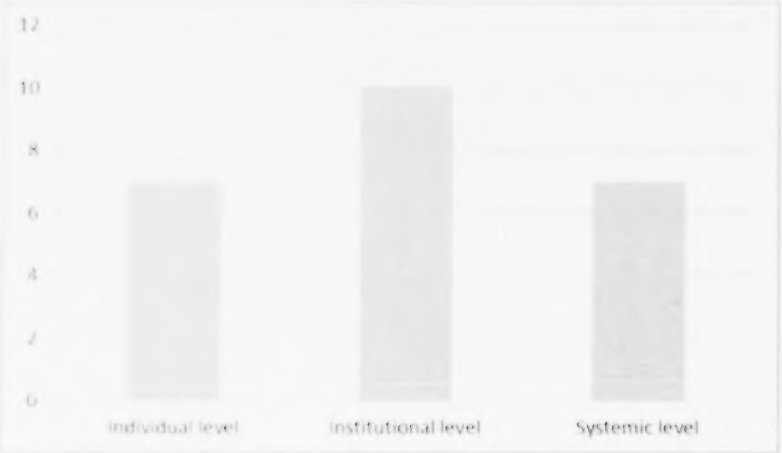
E. Capacity-building support available for nationally appropriate mitigation actions

21. The registry allows users to provide quantitative information regarding capacity-building support, such as the total amount and maximum capacity-building support per NAMA. However, such information is not available for any entries recorded in the registry. Hence, it is not possible to perform a quantitative analysis and derive conclusions in relation to the capacity-building support provided due to the non-existence of the required information in the registry.

22. Capacity-building support entries equally target actions at the institutional level (10 entries), the individual level (7 entries) and the systemic level (7 entries). Figure 7 provides an overview of the type of capacity-building support available for NAMAs.

Figure 7

Types of capacity-building support available for nationally appropriate mitigation actions



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Item 9 of the provisional agenda
National adaptation plans

Information paper on the national adaptation plan process

Note by the secretariat

Summary

This document provides information on the national adaptation plan (NAP) process, including on opportunities for support communicated by Parties, United Nations organizations and other organizations, in line with the provisions of decision 5/CP.17, paragraph 36. It provides information on the progress made by developing countries in the NAP process and on support provided and received relevant to the NAP process.

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Contents

		Paragraphs	*Page*
I.	Introduction	1–6	3
	A. Mandate	1–3	3
	B. Scope of the note	4–5	3
	C. Possible action by the Subsidiary Body for Implementation	6	4
II.	Overview of the process to formulate and implement national adaptation plans	7–13	4
III.	Measures undertaken by developing country Parties in the national adaptation plan process	14–15	6
IV.	Support provided and received relevant to the national adaptation plan process	16–25	7
	A. Financial support	16–19	7
	B. Technical support	20–25	8
V.	Concluding remarks	26	13
Annex			
	Activities relevant to the national adaptation plan process undertaken by relevant bodies and programmes under the Convention as at 19 November 2014		14

I. Introduction

A. Mandate

1. At its thirty-seventh session, the Subsidiary Body for Implementation (SBI) requested the secretariat to compile an information paper on the national adaptation plan (NAP) process, including on the opportunities to support the NAP process communicated by Parties, United Nations organizations and other organizations, in line with the provisions of decision 5/CP.17, paragraph 36, for consideration at SBI 41.[1]

2. By decision 5/CP.17, paragraph 36, the Conference of the Parties (COP) requested the secretariat, consistent with Article 8 of the Convention, to collect, compile and synthesize information needed by the SBI to monitor and evaluate the progress made in the NAP process, drawing upon information in accordance with paragraphs 32–35 of the same decision.

3. Also by decision 5/CP.17, paragraph 37, the COP requested SBI 42 to monitor and evaluate progress made in the NAP process, on the basis of the reports prepared by the secretariat referred to in paragraph 2 above, with a view to making recommendations to the COP, as appropriate.

B. Scope of the note

4. In decision 5/CP.17, paragraphs 32–35 and 38, the COP:

(a) Invited Parties to provide information, through their national communications, on what measures they have undertaken and on support provided or received relevant to the NAP process;

(b) Encouraged least developed country (LDC) Parties, to the extent possible, to provide information on their NAP process through their national communications and other channels;

(c) Requested the Least Developed Countries Expert Group (LEG), the Adaptation Committee and other relevant bodies under the Convention to include information in their reports on how they have responded to the requests made in that decision and on their activities relevant to the NAP process, as per their respective mandates;

(d) Invited United Nations organizations and multilateral, intergovernmental and other international and regional organizations to provide information on their activities to support the NAP process;

(e) Requested the secretariat to utilize and enhance existing databases, to include information on support and other activities under the NAP process, as appropriate.

5. This document is therefore based on the sources of information listed in paragraph 4 above, including: submissions from Parties and relevant organizations on the NAP process;[2] the NAP Expo, held in June 2013 and August 2014;[3] a meeting of the Adaptation

[1] FCCC/SBI/2012/33, paragraph 89.

[2] Submissions on experiences with the application of the initial guidelines for the formulation of NAPs, as well as information relevant to the formulation and implementation of NAPs (FCCC/SBI/2013/9, FCCC/SBI/2013/MISC.2 and Add.1 and FCCC/SBI/2014/MISC.1).

Committee's task force on NAPs held in September 2014;[4] and a total of 43 sixth national communications of Parties included in Annex I to the Convention.[5]

C. Possible action by the Subsidiary Body for Implementation

6. SBI 41 may wish to consider the information contained in this document in the context of monitoring and evaluating progress made in the NAP process at SBI 42, as mandated by decision 5/CP.17, paragraph 37.

II. Overview of the process to formulate and implement national adaptation plans

7. COP 16 established the NAP process to enable LDC Parties to formulate and implement NAPs, and invited other developing country Parties to employ the modalities formulated to support the NAPs.[6] Between 2011 and 2013 the COP adopted three decisions on NAPs,[7] containing mandates in relation to the framing, guidelines, financial and technical support, reporting, monitoring and review of the NAP process.

8. The following are the objectives of the NAP process:

(a) To reduce vulnerability to the impacts of climate change, by building adaptive capacity and resilience;

(b) To facilitate the integration of climate change adaptation, in a coherent manner, into relevant new and existing policies, programmes and activities, in particular development planning processes and strategies, within all relevant sectors and at different levels, as appropriate.

9. The initial guidelines for the formulation of NAPs[8] provide the following elements of the NAP process:

(a) Laying the groundwork and addressing gaps;

(b) Preparatory elements;

(c) Implementation strategies;

(d) Reporting, monitoring and evaluation.

10. The subsequent technical guidelines for the NAP process,[9] prepared by the LEG as mandated by the COP, are based on the elements listed in paragraph 9 above.

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[4] The NAP Expo took place on 8 and 9 August 2014 in Bonn, Germany. Representatives of Parties and relevant organizations gathered to share experiences and to discuss support for the NAP process as well as to identify gaps and needs. More information is available at <http://unfccc.int/8425>.

[5] The task force on NAPs met on 26 and 27 September 2014 in Bonn, Germany, with 17 representatives of United Nations agencies, relevant multilateral and bilateral organizations and non-governmental organizations supporting the NAP process to discuss their experiences and to explore how organizations can best support the process. Further details available in document FCCC/SBI/2014/2, paragraphs 38 and 39.

[6] Further details on specific reports considered, and the information that was extracted, are available on NAP Central under support/database on support.

[7] Decision 1/CP.16, paragraphs 15 and 16.

[8] Decisions 5/CP.17, 12/CP.18 and 18/CP.19.

[9] Decision 5/CP.17, annex.

[10] Available in multiple languages at <http://unfccc.int/7279>.

11. Regarding the provision of both technical and financial support to the NAP process, the COP:

(a) Requested the LEG to provide technical guidance and support to the NAP process for the LDCs, and the Adaptation Committee to consider relevant modalities for supporting interested developing country Parties that are not LDC Parties, to plan, prioritize and implement their national adaptation planning measures.[10] It also requested the LEG to invite the Adaptation Committee and other relevant bodies under the Convention to contribute to its work in support of the NAP process;[11]

(b) Requested the LEG, the Adaptation Committee and other relevant bodies under the Convention to include information in their reports on how they have responded to the requests made in decision 5/CP.17 on their activities relevant to the NAP process, as per their respective mandates (see the annex for a table on activities relevant to the NAP process undertaken by relevant bodies and programmes under the Convention);[12]

(c) Invited national and regional centres and networks to strengthen their programmes and engage in support of the NAP process in LDC Parties, at the regional, national and subnational levels, as appropriate, in a manner that is country driven and encourages cooperation and coordination between regional stakeholders;[13]

(d) Invited Parties to strengthen their engagement with regional centres and networks, where possible, in the process of the formulation and implementation of the NAP process in LDC Parties;[14]

(e) Requested developed country Parties to continue to provide LDC Parties with finance, technology and capacity-building in accordance with decision 1/CP.16, including paragraph 18, and other relevant decisions of the COP;[15]

(f) Requested the Global Environment Facility (GEF) to provide funding from the Least Developed Countries Fund (LDCF) to enable the preparation of the NAP process by LDC Parties,[16] and to consider how to enable activities for the preparation of the NAP process by interested developing country Parties that are not LDC Parties;[17]

(g) Invited the operating entities of the financial mechanism of the Convention, bilateral and multilateral organizations and other institutions, as appropriate, to provide financial and technical support to developing country Parties for them to plan, prioritize and implement their national adaptation planning measures, consistent with decision 1/CP.16 and relevant provisions of the Convention;[18]

(h) Invited United Nations organizations, specialized agencies and bilateral and multilateral agencies to support the NAP process in LDC Parties and other developing country that are not LDCs, and, where possible, to consider establishing support programmes for the NAP process, to facilitate financial and technical support.[19]

12. Parties, Convention bodies and relevant organizations have been requested or invited to provide information on the NAP process through a variety of channels, as described in paragraph 4 above.

[10] Decision 5/CP.17, paragraphs 13 and 30.
[11] Decision 5/CP.17, paragraph 17.
[12] Decision 5/CP.17, paragraph 34.
[13] Decision 5/CP.17, paragraph 18.
[14] Decision 5/CP.17, paragraph 19.
[15] Decision 5/CP.17, paragraph 20.
[16] Decision 12/CP.18, paragraph 1(a).
[17] Decision 12/CP.18, paragraph 4.
[18] Decision 5/CP.17, paragraph 31.
[19] Decision 5/CP.17, paragraph 23, and decision 18/CP.19, paragraph 5.

13. To date, various measures have been undertaken by Parties, Convention bodies and relevant organizations in relation to the NAP process, which are described in chapters III and IV below.

III. Measures undertaken by developing country Parties in the national adaptation plan process

14. As at 19 November 2014, 46 developing country Parties, mostly LDCs, had provided information on the measures that they have undertaken in the NAP process (see table 1 for a summary). Activities vary between countries, and the majority relate to the first element of the NAP process as referred to in paragraph 9 above. The measures are:

(a) Multi-stakeholder workshops to build momentum for and/or launch the NAP process in Benin, Jamaica, Malawi, Togo and United Republic of Tanzania;

(b) The stocktaking of available information on climate change impacts, vulnerability and adaptation, as well as the assessment of gaps and needs in relation to the enabling environment for the NAP process, in Cambodia, Lesotho, Niger and Togo;

(c) Discussions on launching work on assessing gaps and needs in relation to the NAP process in Benin, Democratic Republic of the Congo, Gambia, Guinea-Bissau, Liberia, Malawi, Nepal, Niger, Senegal and Sudan;

(d) The development of road maps for the NAP process in Bangladesh, Burkina Faso, Cambodia, Gambia, Liberia, Malawi, Niger, Togo and United Republic of Tanzania;

(e) The preparation of draft NAPs in Burkina Faso, Ethiopia and Sudan;

(f) Vulnerability and risk assessments and the appraisal and prioritization of adaptation options in Lesotho and United Republic of Tanzania;

(g) Various activities building up to the integration of climate change considerations into development and sectoral planning in Bhutan, Bolivia (Plurinational State of), Cameroon, India, Indonesia, Mali, Morocco, Mozambique, United Republic of Tanzania, Vanuatu and Viet Nam;

(h) The establishment of a climate change adaptation strategy in the Republic of Moldova, which will also serve as a framework strategy that creates an enabling environment for certain sectors and ministries to integrate climate change adaptation activities and risk management actions into current and future sectoral strategies and NAPs;

(i) Preparing for the development of a system of indicators for the monitoring and evaluation of climate change adaptation actions in Colombia.

15. Relevant information on experiences, good practices, lessons learned, gaps and needs in relation to the process to formulate and implement national adaptation plans can be found in document FCCC/SBI/2014/INF.14, prepared by the LEG and the Adaptation Committee.

Table 1

Summary of measures undertaken by developing country Parties in the national adaptation plan process

Element of national adaptation plan process	Number of developing countries	Measures
Laying the groundwork and addressing gaps	5 (3)	Stakeholder consultations
	4 (4)	Stocktaking of available information on climate change impacts, vulnerability and adaptation
	10 (10)	Assessing gaps and needs in relation to the enabling environment for the national adaptation plan (NAP) process
	9 (8)	Development of road maps and strategies for the NAP process
Preparatory elements	2 (1)	Comprehensive risk and vulnerability assessments
	3 (3)	Compilation of draft NAPs
	11 (4)	Integration of adaptation into national and subnational development planning
Implementation strategies	1	Development of implementation strategy for medium- and long-term adaptation
Reporting, monitoring and review	1	Monitoring indicators (preparation of)

[a] Figures in brackets indicate the number of least developed countries.

IV. Support provided and received relevant to the national adaptation plan process

A. Financial support

16. The GEF provided USD 2.19 million to the NAP global support programme for the LDCs for the period June 2013 to December 2014.[b] The programme is implemented by the United Nations Development Programme (UNDP) and the United Nations Environment Programme (UNEP) together with other partners, to support the LDCs under three pillars related to the NAP process: institutional support, technical support and knowledge brokering. Furthermore, the GEF communicated that many national adaptation programme of action (NAPA) projects that are funded through the LDCF contribute towards the objectives of the NAP process. As at 15 September 2014, a total of 158 NAPA projects had been approved by the GEF Council, with LDCF grants amounting to USD 882.7 million since 2007.

[b] See document FCCC/CP/2014/2. More information on the NAP global support programme is available at <http://www.undp-alm.org/projects/naps-ldcs>.

17. Developed country Parties communicated examples of the financial support provided by them to various developing country Parties for the NAP process, including the provision of support by:

(a) The United States of America for multi-stakeholder workshops in Jamaica and United Republic of Tanzania to lay the groundwork for the NAP process and for 11 West African coastal countries within the Economic Community of West African States to promote national action on adaptation planning and regional cooperation on coastal adaptation;

(b) Germany to Cambodia and Togo for the identification and assessment of institutional arrangements, programmes and policies and the capacity for the overall coordination and leadership of the NAP process, and to 12 Pacific Island countries to strengthen their capacity to cope with climate change;

(c) Austria to the Republic of Moldova to put in place its NAP process, contributing to and building upon existing development planning strategies, and to implement priority adaptation actions;

(d) The European Union, through the Global Climate Change Alliance (GCCA), to Bhutan to enhance the resilience of rural households to the effects of climate change, to Malawi to alleviate poverty and enhance resilience, and to Pacific Island countries to contribute towards a coherent, coordinated, efficient and mainstreamed way of delivering climate change adaptation support at the national and regional levels.

18. Furthermore, developed country Parties communicated that there has been broader support provided to developing countries through a variety of means for adaptation activities that could contribute or build up to the NAP process, including that provided:

(a) By individual countries to developing countries;

(b) Through programmes such as the Africa Adaptation Programme, funded by Japan, GCCA under the European Union, and the Pilot Program for Climate Resilience, funded by multiple donors.

19. More information on the support provided by developed countries for the NAP process referred to in paragraphs 17 and 18 above is available on NAP Central.[21]

B. Technical support

20. The LEG, the Adaptation Committee and the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP) have provided technical support to the NAP process within their respective mandates as follows:

(a) The LEG has produced technical guidelines for the NAP process, conducted regional training workshops on NAPs, developed NAP Central and continues to undertake various activities in providing technical guidance and support to the NAP process. More information on the technical guidance and support provided by the LEG to the NAP process is contained in the reports of the LEG to the SBI;[22]

(b) The Adaptation Committee has worked on the consideration of modalities for supporting developing country Parties that are not LDCs and has established a task force on NAPs to serve as a panel within the Adaptation Committee to look at issues related to

[21] See NAP Central under Support/Support Tracker.
[22] Available at <http://unfccc.int/6099>.

NAPs.[23] More information on the Adaptation Committee's provision of support to the NAP process is available in the Adaptation Committee's annual reports to the COP.[24]

(c) The NWP has undertaken a compilation of case studies on national adaptation planning processes,[25] produced a synthesis report on methods and tools for, and good practices and lessons learned relating to, adaptation planning processes addressing ecosystems, human settlements, water resources and health, and good practices and lessons learned related to processes and structures for linking national and local adaptation planning,[26] and has compiled submissions from Parties and NWP partner organizations on good practices in and lessons learned from national adaptation planning.[27]

21. Other bodies under the Convention have also undertaken activities that are related to the provision of technical support to the NAP process. A detailed list of activities carried out by constituted bodies under the Convention that are related to the provision of technical support for the NAP process is provided in the annex.

22. Developed country Parties have provided technical support to developing country Parties for the NAP process, in addition to providing financial support. Table 2 provides examples of technical support provided for the NAP process and activities that are related to the elements of the NAP process.

Table 2

Examples of technical support provided by developed country Parties to developing country Parties for activities relevant to the national adaptation plan process (based on the sources mentioned in paragraphs 4 and 5 above)

Element of the national adaptation plan process	*Technical support*	*Target countries/regions*
Laying the groundwork and addressing gaps	Stocktaking for National Adaptation Planning tool (Germany)	Cambodia, Mauritania, Togo, Tunisia and other countries
	Regional capacity development programme for adaptation in African-Caribbean-Pacific countries (European Union)	Global Climate Change Alliance (GCCA) partner countries
	Setting up a geographic information system database (European Union)	Comoros
Preparatory elements	Supporting Pacific meteorological services to facilitate the use of climate science in adaptation planning (Australia)	Pacific region
	Climate data collection and development of scenarios (Netherlands)	Africa
	Training on vulnerability assessment and adaptation planning (United States)	Global
	Design of climate change strategies and policies and local adaptation plans	GCCA partner

[23] FCCC/SB/2013/2, paragraph 33 and annex I.
[24] Available at <http://unfccc.int/7584>.
[25] FCCC/SBSTA/2012/INF.6.
[26] Document FCCC/SBSTA/2014/4.
[27] More information on the NWP is available at <http://unfccc.int/nwp>.

Element of the national adaptation plan process	Technical support	Target countries/regions
	(European Union)	countries
	Integrating adaptation into national policies and programmes (European Union)	Bhutan, Bolivia (Plurinational State of), Cambodia, Cameroon, India, Mali, Morocco, Mozambique and Sao Tome and Principe
	Integrating climate risks, adaptation and policy frameworks into national development plans and budgets (Netherlands)	Peru
	Integrating adaptation into development policies (Japan)	Indonesia and Viet Nam
Implementation strategies	Introducing early warning systems (Spain)	Latin America
	Introducing observing equipment in river basins and technical support for activities related to evacuation, in order to reduce damage caused by floods (Japan)	Morocco
	Supporting forest restoration strategies and activities for increasing carbon stocks as well as combating loss of species (Austria)	Bhutan
	Providing simplified national adaptation frameworks and activities to build the resilience of local communities (Cyprus and United Kingdom)	Nepal
	Improving food security through adapted water- and energy-saving irrigation technologies (Liechtenstein)	United Republic of Tanzania
	Promoting the use of local knowledge and sharing of expertise on adaptation in mountainous regions (Switzerland)	Peru
Reporting, monitoring and review	Supporting the enhancement of monitoring and evaluation systems (Japan)	Indonesia and Viet Nam
	Setting up monitoring systems (European Union)	Chad and Seychelles

23. Responding to the request made by the COP for relevant organizations and agencies to consider establishing support programmes for the NAP process, to facilitate financial and technical support,[25] UNDP and UNEP established the NAP global support programme for

[25] Decision 5/CP.17, paragraph 23, and decision 18/CP.19, paragraph 5.

the LDCs in collaboration with other organizations and agencies, with funding from the LDCF. Since its inception in 2013, the programme has provided technical support to the following countries: Bangladesh, Benin, Burkina Faso, Cambodia, Central African Republic, Comoros, Democratic Republic of the Congo, Djibouti, Gambia, Guinea-Bissau, Liberia, Lesotho, Madagascar, Malawi, Mali, Mauritania, Mozambique, Nepal, Niger, Rwanda, Sao Tome and Principe, Senegal, Sudan, Uganda and United Republic of Tanzania. Yemen is currently requesting support from the NAP global support programme.[29] Table 3 shows the types of activity undertaken by the NAP global support programme since its inception.

Table 3

Types of activity implemented by the national adaptation plan global support programme as at 19 November 2014

Activity	*Countries*
Support for laying the groundwork for the national adaptation plan (NAP) process	Benin, Cambodia, Comoros, Democratic Republic of the Congo, Gambia, Guinea-Bissau, Liberia, Malawi, Nepal, Niger, Senegal and Sudan
Regional training workshops	All of the least developed countries
Country missions	Bhutan, Democratic Republic of the Congo, Lao People's Democratic Republic, Niger, Senegal, Timor-Leste and United Republic of Tanzania
Technical review of draft NAPs and road maps	Bangladesh and Burkina Faso

24. United Nations organizations and specialized agencies communicated the following programmes and initiatives that could contribute to the NAP process:

(a) The World Meteorological Organization (WMO) Global Framework for Climate Services, which is a global partnership of governments and organizations for the production and use of climate information and services. Under the initiative, a project entitled "Climate Services Adaptation Programme in Africa"[30] was launched in 2013 to strengthen the production of user-friendly climate services for food security, nutrition, health and disaster risk reduction in Malawi and United Republic of Tanzania;

(b) Capacity-building provided by the Food and Agriculture Organization of the United Nations to enable the assessment of the long-term impacts of climate change on agriculture, as well as the provision of technical support for the implementation of risk management practices in the agriculture sector, such as the use of stress-tolerant crop varieties and soil and water conservation, to a number of LDCs and countries that are not LDCs;

(c) The public-health projects of the World Health Organization in the context of climate adaptation, which include: enhancing early warning and early action systems; building the capacity of national actors; providing pilot interventions for the reduction of specific health risks; and producing documents and sharing lessons learned on addressing the health risks associated with climate change;

[29] A list of the countries receiving support is available at <http://www.undp-alm.org/nap-gsp-countries>.

[30] Joint programme between World Meteorological Organization, World Health Organization, World Food Programme, International Federation of Red Cross and Red Crescent Societies, Climate Change, Agriculture and Food Security and Centre for International Climate and Environmental Research – Oslo

(d) The Global Water Partnership's work in supporting countries in the NAP process by: mainstreaming water security as a cross-cutting issue in Burkina Faso's draft NAP; taking stock of initiatives for mainstreaming climate change into development in the Niger; developing road maps; supporting a stakeholder consultation workshop on the NAP process in Malawi;[11] and organizing a regional workshop for South Asian countries on lessons learned in the implementation of climate change adaptation strategies in the water sector;

(e) An International Organization for Migration (IOM) project entitled "Migration, environment and climate change: evidence for policy", which provides new evidence for policymaking on migration, the environment and climate change, with particular emphasis on migration as an adaptation strategy. IOM has provided support to Dominican Republic, Haiti, Kenya, Mauritius, Papua New Guinea and Viet Nam. The main activities for 2014 are: establishing working groups and meetings, which are crucial for identifying policy needs in order to integrate migration into adaptation planning; stocktaking of existing evidence and data; and developing a training manual, including a module on how to integrate migration into NAPs, with country-specific case studies.

25. Furthermore, various organizations are developing supplementary materials to the technical guidelines for the NAP process. Some of the organizations have published their supplementary materials, while some have communicated their draft supplementary materials to the LEG for comment. Table 4 provides the current list of supplementary materials, some of which have been finalized, while others are to be finalized soon.

Table 4

Supplementary materials to the technical guidelines for the national adaptation plan process

Organization	*Supplementary materials*
World Health Organization	Mainstreaming gender in health adaptation to climate change programmes: User's Guide[a]
Deutsche Gesellschaft für Internationale Zusammenarbeit	Aligning national adaptation plan (NAP) processes to development and budget planning and the Stocktaking for National Adaptation Planning tool[b]
Global Water Partnership	Water Supplement to the Technical Guidelines: Supporting the national adaptation plan process[c]
Conservation International	Tool for the integration of ecosystems into climate change adaptation planning processes
International Federation of Red Cross and Red Crescent Societies	How to engage with National Adaptation Plans: Guidance for National Red Cross and Red Crescent Societies[d]
Global Programme of Research on Climate Change Vulnerability, Impacts and Adaptation (PROVIA)	Supporting NAP development with the PROVIA Guidance: A user companion[e]
Southern Voices on Climate Change	Joint principles for adaptation, a national adaptation policy assessment tool, and a civil-society guide to the Least Developed Countries Expert Group/NAP technical guidelines[f]

[11] Further information is available at <http://unfccc.int/resource/docs/2014/smsn/igo/165.pdf>.

Organization	*Supplementary materials*
Food and Agriculture Organization of the United Nations	Draft supplementary guidelines to support the integration of agriculture into NAPs, and guidelines to support the integration of genetic diversity into climate change adaptation planning and NAPs
Convention on Biological Diversity	Draft document on promoting synergies in addressing biodiversity and climate change adaptation issues: linking NAPs and national biodiversity strategies and action plans

[a] Available at <http://www.who.int/globalchange/publications/Mainstreaming_Gender_Climate.pdf>.

[b] Available at <https://gc21.giz.de/ibt/var/app/wp342deP/1443/index.php/knowledge/mainstreaming/tools>.

[c] Available at <http://tinyurl.com/pynkvxw>.

[d] Available at <http://www.climatecentre.org/downloads/File/IFRCGeneva/IFRCNationalAdaptionPlans.pdf>.

[e] Available at <http://www.sei-international.org/mediamanager/documents/Publications/Climate/PROVIA-NAP-user-companion-2014.pdf>.

Available at <http://southernvoices.net/images/docs/JointPrinciplesforAdaptation_v1.pdf>, <http://southernvoices.net/images/docs/JPA_Assessment_Tool_1.0-2.pdf> and <http://www.southernvoices.net/images/docs/SVA-CS-NAP-GuidelinesDraft.pdf>, respectively.

V. Concluding remarks

26. A number of developing country Parties, mostly LDCs, are undertaking various measures towards the NAP process. These are mainly around the first (laying the groundwork and addressing gaps) and second (preparatory elements) elements of the NAP process. The measures include multi-stakeholder workshops, stocktaking exercises, discussions to launch the process at national level, road maps and strategies for the NAP process, vulnerability and risk assessments. In addition, a number of channels to support the initial work on the NAP process have been put in place, including the support through the LDCF and the SCCF, the NAP global support programme, bilateral and multilateral agencies, and other organizations.

Annex

Activities relevant to the national adaptation plan process undertaken by relevant bodies and programmes under the Convention as at 19 November 2014

Activity	*Mandate*	*Period*	*Target group(s)*	*Relevant elements of the national adaptation plan process*	*Reference(s)*
Adaptation Committee					
Review of the technical guidelines for the national adaptation plan (NAP) process on their applicability to developing country Parties that are not least developed countries (LDCs)	Decision 5/CP.17, paragraph 30	2013	Developing country Parties that are not LDCs	Whole process	FCCC/SB/2013/2, paragraphs 29 and 30
Establishment of the Adaptation Committee's task force on NAPs to address issues related to NAPs	Decision 2/CP.17, paragraph 94, 99 and 114; decision 5/CP.17, paragraph 30	2013	Developing countries	Whole process	FCCC/SB/2013/2, paragraphs 33 and 34
Contribution to the development of NAP Central through participation in the NAP Central Advisory Group	Decision 2/CP.17, paragraph 92; decision 5/CP.17, paragraph 30	2013–2014	Developing countries	Whole process	FCCC/SB/2013/2, paragraph 35; FCCC/SB/2014/2, paragraphs 42 and 66
Consideration of issues related to financing for NAPs	Decision 2/CP.17, paragraph 92; decision 5/CP.17, paragraph 30	2013–2014	Developing countries	Whole process	FCCC/SB/2014/2, paragraphs 40 and 41
Consideration of support for NAPs, and how organizations can best support the process, through a meeting of the Adaptation Committee's task force on NAPs with representatives of United Nations agencies, relevant multilateral and bilateral organizations and non-governmental organizations supporting the NAP process	Decision 2/CP.17, paragraph 92; decision 5/CP.17, paragraph 30	September 2014	Developing countries	Whole process	FCCC/SB/2014/2, paragraphs 38 and 39
Preparation of an information paper on	FCCC/SBI/2014/8,	2014	All Parties and	Whole process	FCCC/SBI/2014/INF.14

Activity	*Mandate*	*Period*	*Target group(s)*	*Relevant elements of the national adaptation plan process*	*Reference(s)*
experiences, good practices, lessons learned, gaps and needs in relation to the process to formulate and implement NAPs, jointly with the Least Developed Countries Expert Group (LEG)	paragraph 107		organizations		
Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE)					
Hands-on training workshop for the African region on vulnerability and adaptation assessment	Decision 5/CP.15, annex	November 2011	African region	Preparatory elements	FCCC/SBI/2012/12
Hands-on training workshop for the Latin America and Caribbean region on vulnerability and adaptation assessment	Decision 5/CP.15, annex	July 2011	Latin America and the Caribbean	Preparatory elements	FCCC/SBI/2012/17
Hands-on training workshop for the Asia and Pacific region on vulnerability and adaptation assessment	Decision 5/CP.15, annex	October 2012	Latin America and the Caribbean	Preparatory elements	FCCC/SBI/2012/32
Update of the CGE training materials on vulnerability and adaptation assessment	Decision 19/CP.19, annex	2014–2015	Non-Annex I Parties	Preparatory elements	FCCC/SBI/2014/17
Regional hands-on training workshop on vulnerability and adaptation assessment	Decision 19/CP.19, annex	2015	Non-Annex I Parties	Element B	FCCC/SBI/2014/17
Least Developed Countries Expert Group (LEG)					
Expert meeting to review draft technical guidelines for the NAP process	Decision 5/CP.17, paragraph 15	2012	LDCs	Whole process	FCCC/SBI/2012/27, paragraph 16
Preparation of the technical guidelines for the NAP process	Decision 5/CP.17, paragraph 15	2012	LDCs	Whole process	FCCC/SBI/2013/8, paragraph 14
Preparation of NAP poster, overview publication on the NAP process and NAP CD-ROM	Decision 5/CP.17, paragraph 13	2013	LDCs	Whole process	FCCC/SBI/2013/15, paragraph 33
Identification of the support needs for the process of the formulation and implementation of NAPs	Decision 5/CP.17, paragraph 16	2012	LDCs	Whole process	FCCC/SBI/2012/27, paragraphs 17–19

Activity	*Mandate*	*Period*	*Target group(s)*	*Relevant elements of the national adaptation plan process*	*Reference(s)*
LEG regional training workshop on adaptation for Asian LDCs	Decision 5/CP.17, paragraph 13	20–24 August 2013	Asian LDCs	Whole process	FCCC/SBI/2013/16
LEG regional training workshop on adaptation for African Anglophone LDCs	Decision 5/CP.17, paragraph 13	29 July to 2 August 2013	African Anglophone LDCs	Whole process	FCCC/SBI/2013/16
LEG regional training workshop on adaptation for Francophone LDCs	Decision 5/CP.17, paragraph 13	18–22 March 2013	Francophone LDCs	Whole process	FCCC/SBI/2013/16
LEG regional training workshop on adaptation for Pacific LDCs	Decision 5/CP.17, paragraph 13	28 September to 3 October 2012	Pacific LDCs	Whole process	FCCC/SBI/2013/16
Outreach on the NAP process through the NAP Expo	Decision 5/CP.17, paragraph 13	June 2013	LDCs	Whole process	FCCC/SBI/2013/15, paragraphs 22–24
Outreach on the NAP process through the NAP Expo	Decision 5/CP.17, paragraph 13	August 2014	LDCs and non-LDCs	Whole process	FCCC/SBI/2014/13, paragraphs 31–34
Development of NAP Central	Decision 5/CP.17, paragraph 13	Ongoing	LDCs and non-LDCs	Whole process	FCCC/SBI/2014/13, paragraphs 28–30
Regional training workshop on NAPs for Pacific LDCs	Decision 5/CP.17, paragraph 13	November 2014	Pacific LDCs	Whole process	FCCC/SBI/2014/13, paragraph 24
Engagement in the Adaptation Committee's task force on NAPs	Decision 5/CP.17, paragraph 17	Ongoing	Developing countries	Whole process	FCCC/SB/2013/2, paragraph 33
LEG technical meeting on NAPs	Decision 6/CP.16, paragraph 6; decision 5/CP.17, paragraph 12	February 2014	LDCs	Whole process	FCCC/SBI/2014/4, paragraph 3
Preparation of an information paper on experiences, good practices, lessons learned, gaps and needs in the process to formulate and implement NAPs, jointly with the Adaptation Committee	FCCC/SBI/2014/8, paragraph 107	2014	All Parties and organizations	Whole process	FCCC/SBI/2014/INF.14

Activity	*Mandate*	*Period*	*Target group(s)*	*Relevant elements of the national adaptation plan process*	*Reference(s)*
Engagement in the NAP global support programme for the LDCs	Decision 6/CP.16, paragraph 6; decision 5/CP.17, paragraph 12	Ongoing	LDCs	Whole process	FCCC/SBI/2013/8, FCCC/SBI/2013/15, FCCC/SBI/2014/4 and FCCC/SBI/2014/13
Nairobi work programme on impacts, vulnerability and adaptation to climate change					
Undertaking of a compilation of case studies on national adaptation planning processes	Decision 6/CP.17, paragraph 7	2012	All Parties	Whole process	FCCC/SBSTA/2012/INF.6
Preparation of a synthesis report on methods and tools for, and good practices and lessons learned relating to, adaptation planning processes addressing ecosystems, human settlements, water resources and health, and good practices and lessons learned related to processes and structures for linking national and local adaptation planning	FCCC/SBSTA/2014/2, paragraphs 20 and 21	2014	All Parties	Whole process	FCCC/SBSTA/2014/4
Standing Committee on Finance (SCF)					
Engagement in the Adaptation Committee's task force on NAPs	Decision 2/CP.17, paragraph 94, 99 and 114	Ongoing		Whole process	FCCC/SB/2013/2, paragraph 33
Second Forum of the SCF, on mobilizing adaptation finance	Decision 2/CP.17, paragraph 121(a)	21 and 22 June 2014	Bodies and entities dealing with climate change finance	Whole process	FCCC/CP/2014/5 contains an executive summary; the full report on the forum is available at <http://unfccc.int/8138>
Technology Executive Committee (TEC)					
Engagement in the Adaptation Committee's task force on NAPs	Decision 2/CP.17, paragraph 94, 99 and 114	Ongoing	Developing countries	Whole process	FCCC/SB/2013/2, paragraph 33

Action	Mandate	Period	Target group(s)	Relevant elements of the national adaptation plan process	Reference(s)
Review of the status of implementation of the results of technology needs assessments (TNAs) and the interlinkages between the TNA process and national climate policy-making processes, including NAPs	Decision 1/CP.16, paragraph 121	2013	Developing countries	Whole process	FCCC/SB/2013/1
In-session TNA workshop, as part of the 8th meeting of the TEC, to discuss possible linkages between the TNA process and other planning tools under the Convention, such as nationally appropriate mitigation actions (NAMAs) and NAPs	Decision 1/CP.16, paragraph 121	March 2014	Developing countries	Whole process	FCCC/SB/2014/3, paragraphs 36–38
Preparation of a TEC Brief on the possible integration of the TNA process with the NAMA and NAP processes	Decision 1/CP.16, paragraph 121	October 2013	Developing countries	Whole process	Available at <http://unfccc.int/ttclear/misc_/StaticFiles/gnwoerk_static/TEC_column/1/4885c880fb54a6b6ed32a3b7e1bc947262a425ca584ac840ab4a59806d7e58d.pdf>
Development of key messages for the Conference of the Parties (COP) with regard to TNAs and technologies for adaptation related to NAPs	Decision 1/CP.16, paragraph 121	2014	COP	Whole process	FCCC/SB/2014/3, paragraphs 6–11